

# Synchronizing Finite Automata

## Lecture IV: The Černý Conjecture

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# 1. Recap

Deterministic finite automata:  $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$ .

- $Q$  the state set
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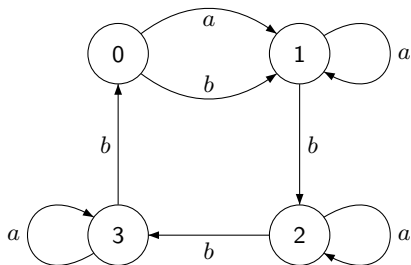
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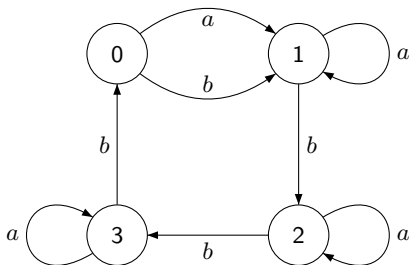
$|Q \cdot w| = 1$ . Here  $Q \cdot v = \{\delta(q, v) \mid q \in Q\}$ .

Any  $w$  with this property is a **reset word** for  $\mathcal{A}$ .

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A reset word is *abbbabba*. In fact, we have verified that this is the shortest reset word for this automaton.

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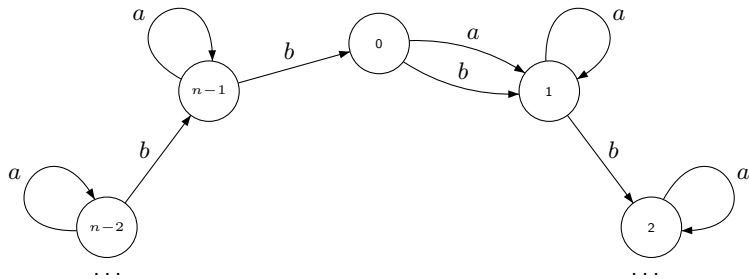
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The automaton in the previous slide is  $\mathcal{C}_4$ .

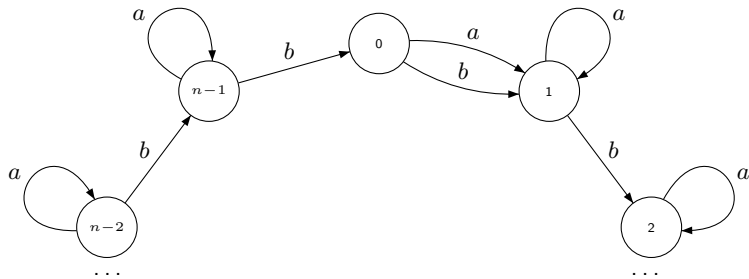
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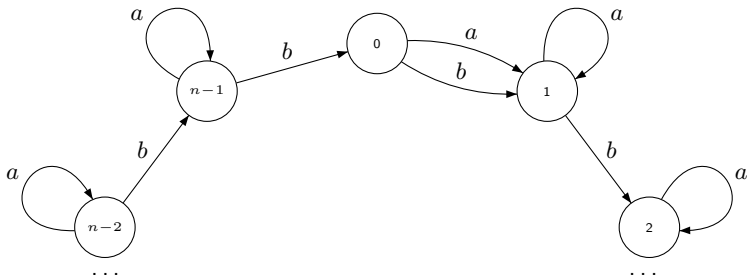
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Černý has proved that the shortest reset word for  $\mathcal{C}_n$  is  $(ab^{n-1})^{n-2}a$  of length  $(n-1)^2$ . As other results from Černý's paper of 1964, this nice series of automata has been rediscovered many times.

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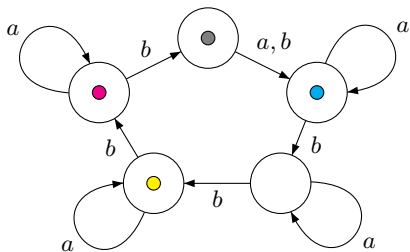
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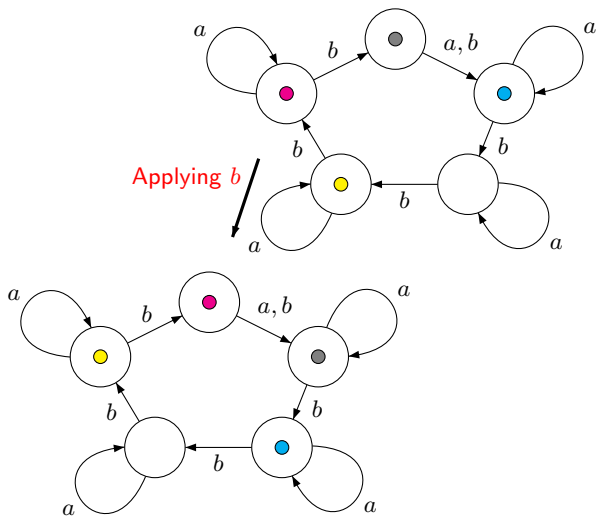
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- The only coin that remains at the end of the game is the **golden coin**  $G$ .

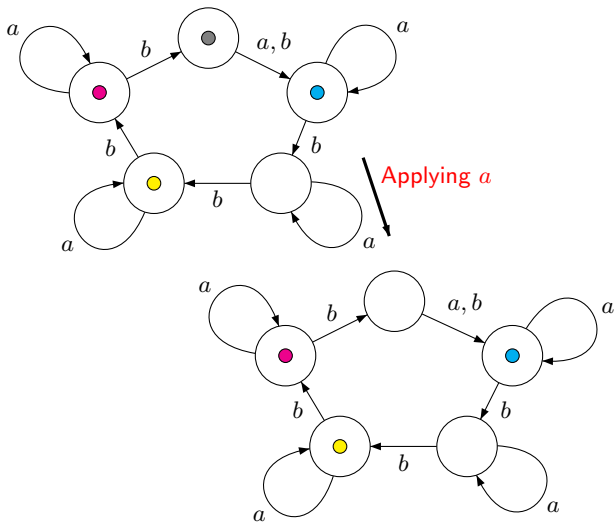
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$$\text{Then } |w| = \sum_{i=1}^{|w|} 1 \geq \sum_{i=1}^{|w|} (\text{wg}(P_{i-1}) - \text{wg}(P_i)) =$$

$$\text{wg}(P_0) - \text{wg}(P_{|w|}) \geq n(n-1) - (n-1) = (n-1)^2.$$

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where  $m_i(C)$  is the distance from  $s_i(C)$  to the state 0 and  $d_i(C)$  is the distance from  $s_i(C)$  to the state holding the golden coin (recall that the latter is present in all positions.)



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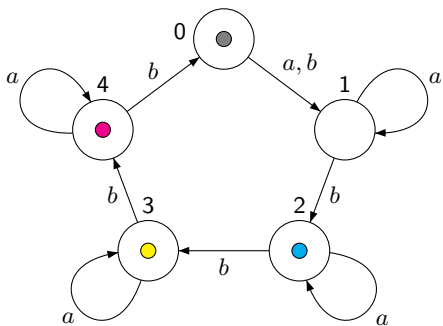
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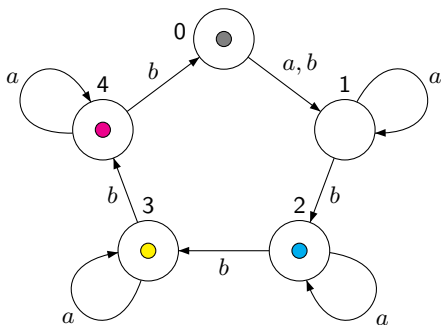
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The weight of  $P_i$  is the maximum weight of the coins present in this position.

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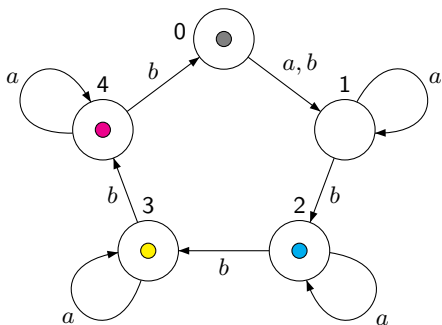


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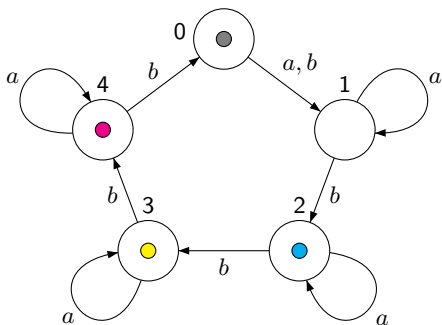
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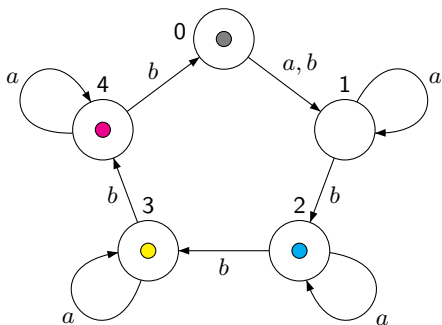
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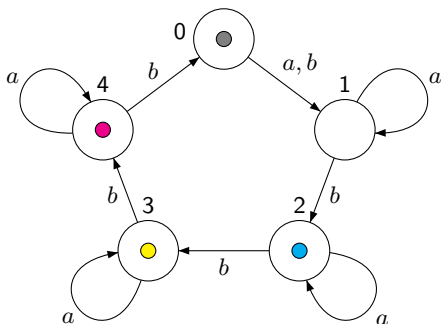


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The weight of the red coin is  $5 \cdot 4 + 1 = 21$ ,  
and this is the weight of the position.



## 10. Properties of the Weight Function. I

We have to check that our weight function satisfies the conditions

- (i)  $\text{wg}(P_0) \geq n(n-1)$  and  $\text{wg}(P_{|w|}) \leq n-1$ ;
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Since the weight of a position is not less than the weight of any coin in this position, we have  $\text{wg}(P_0) \geq n(n-1)$ .

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In the final position only the golden coin  $G$  remains whence the weight of  $P_{|w|}$  is the weight of  $G$ . Clearly,  $\text{wg}(G, P_i) = m_i(G) \leq n - 1$  for any position  $P_i$ .

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$$\begin{aligned} \text{wg}(P_i) &\geq \text{wg}(C', P_i) = n \cdot d_i(C') + n - 1 = n \cdot (d_{i-1}(C) - 1) + n - 1 \\ &= n \cdot d_{i-1}(C) - 1 = \text{wg}(C, P_{i-1}) - 1 = \text{wg}(P_{i-1}) - 1. \end{aligned}$$

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Thus, the transition from  $P_{i-1}$  to  $P_i$  cannot decrease the weight.

Assume that  $C$  covers 0 in  $P_{i-1}$ . Then in  $P_i$  the state 1 holds a coin  $C'$  (which may or may not coincide with  $C$ ). In  $P_{i-1}$  the golden coin  $G$  does not cover 0 whence it does not move and  $d_i(C') = d_{i-1}(C) - 1$ . Therefore

$$\begin{aligned} \text{wg}(P_i) &\geq \text{wg}(C', P_i) = n \cdot d_i(C') + n - 1 = n \cdot (d_{i-1}(C) - 1) + n - 1 \\ &= n \cdot d_{i-1}(C) - 1 = \text{wg}(C, P_{i-1}) - 1 = \text{wg}(P_{i-1}) - 1. \end{aligned}$$

This completes the proof.

## 13. The Černý Function

Define the **Černý function**  $C(n)$  as the maximum reset threshold of **all** synchronizing automata with  $n$  states. The above property of the series  $\{\mathcal{C}_n\}$ ,  $n = 2, 3, \dots$ , yields the inequality

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This simply looking conjecture is arguably the most longstanding open problem in the combinatorial theory of finite automata. Everything we know about the conjecture in general can be summarized in just one line:

$$(n - 1)^2 \leq C(n) \leq \frac{\min\left\{\frac{85059n^3 + 90024n^2 + 196504n - 10648}{85184}, n^3 - n\right\}}{6}.$$

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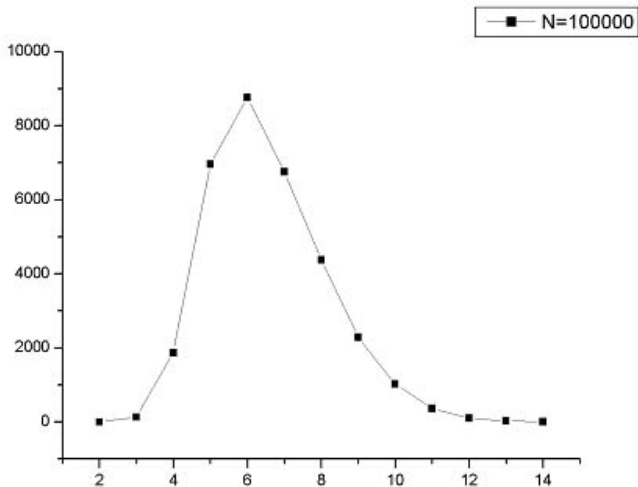
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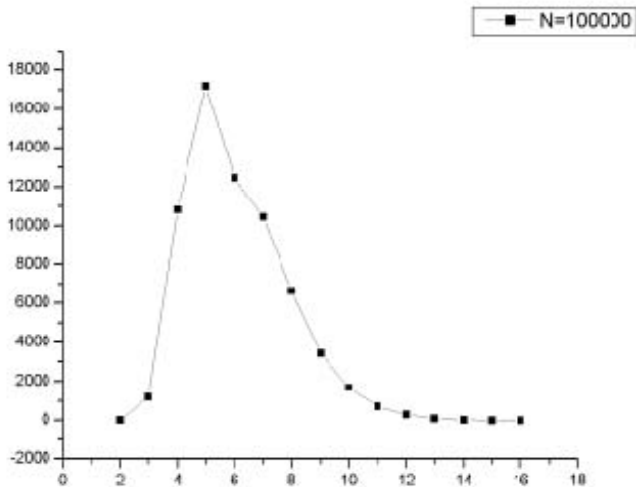
Yet another reason: “slowly” synchronizing automata turn out to be extremely rare. The only known infinite series of  $n$ -state synchronizing automata with reset threshold  $(n - 1)^2$  is the Černý series  $\mathcal{C}_n$ ,  $n = 2, 3, \dots$ , with a few sporadic examples for  $n \leq 6$ .

## 15. 20-State Experiment





## 16. 30-State Experiment



## 17. Random Automata

Recent massive experiments (see Andrzej Kisielewicz, Jakub Kowalski, and Marek Szykuła, Computing the shortest reset words of synchronizing automata, *J. Comb. Optim.*, 29, 88–124 (2015)) involved random DFAs with up to 350 states and up to 10 letters.

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Thus, Černý conjecture holds true almost surely.

Moreover, even “slowly” synchronizing automata cannot be discovered via a random sampling.

## 18. Sporadic Examples: $n = 2$

A synchronizing automaton  $\mathcal{A} = \langle Q, \Sigma, \delta \rangle$  is **proper** if none of the DFAs obtained from  $\mathcal{A}$  by erasing any letter in  $\Sigma$  are synchronizing.



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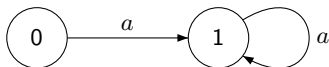
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For the sake of completeness, we start with  $n = 2$ :

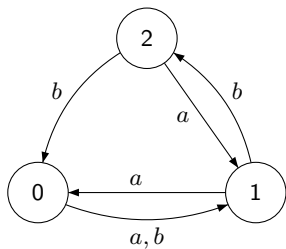


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For  $n = 3$  we have three sporadic automata:

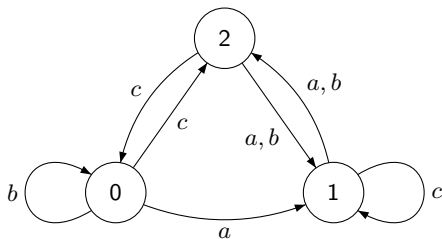
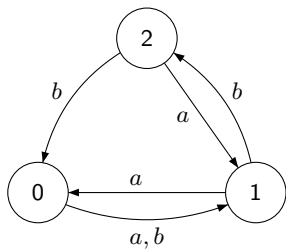
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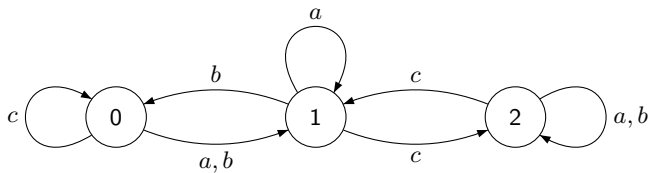
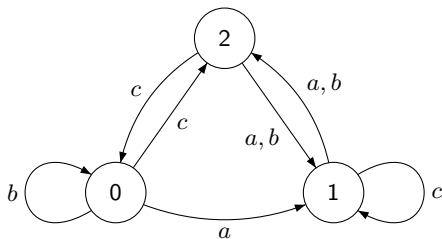
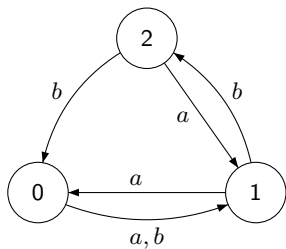
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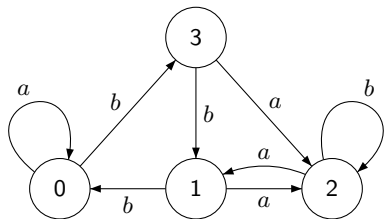


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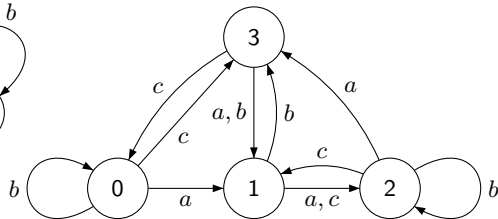
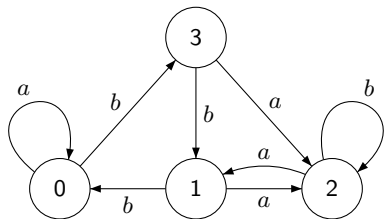
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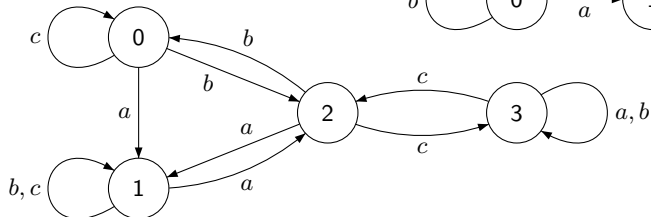
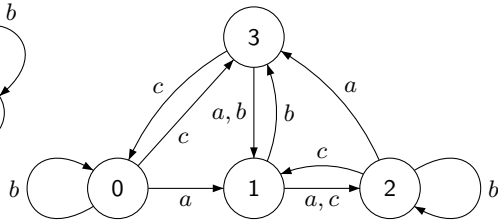
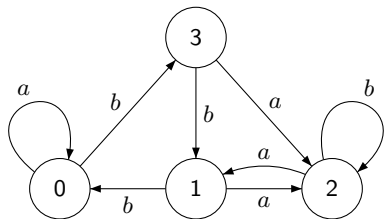
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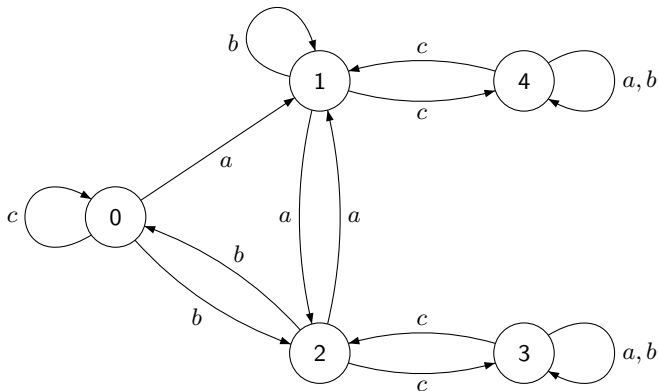
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A proper 5-state automaton reaching the Černý bound has been discovered by Adam Roman (A note on Černý conjecture for automata over 3-letter alphabet, J. Automata, Languages and Combinatorics, 13, 141–143 (2008)).

## 21. Roman's Automaton

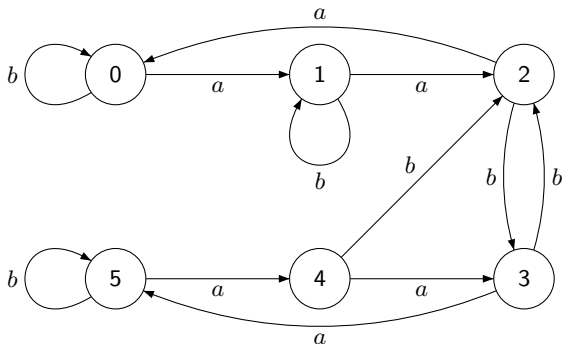
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Recent exhaustive search experiments (Andrzej Kisielewicz, Jakub Kowalski and Marek Szykuła, Experiments with synchronizing automata, CIAA 2016, LNCS 9705, 176–188, 2016) have indicated that likely  $\mathcal{K}_6$  is the only 'proper' counter example to Pin's conjecture.

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However, 4 is **not** the maximum number of tokens that can be removed! One can show that 5 states can be freed by a sequence of 25 moves — in full accordance with the rank conjecture.