

COMMUTATIVE SEMIGROUP VARIETIES
WITH MODULAR SUBVARIETY LATTICES

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ABSTRACT

All commutative semigroup varieties with modular subvariety lattices are described.

1. INTRODUCTION

Many papers have been devoted to study the lattice L_c of all commutative semigroup varieties in the late sixties and early seventies^{3,4,7,11,12,14,15}). Two reasons have inspired investigators' enthusiasm: the significance of the class of commutative semigroups and Perkins' result¹³) that L_c is countable which has generated hope that L_c may be described completely. However, the faith in a pre-ordered harmony mostly leads to disappointment. The lattice L_c turned out to be very complex: in particular it contains a dual of every finite partition lattice³), and by well-known result by Pudlak and Tuma, this means that every finite lattice is embeddable in L_c . These circumstances led to sad conclusion (formulated explicitly e.g. in⁹) that the complete description of L_c is impossible. As a consequence, only few papers about L_c have been published during the last 15 years^{1,2,9}).

The authors of the present paper have developed some technique (published partially in¹⁸) which reanimates the hope that L_c is "treatable". Here we apply this technique to solve (for commutative case) an old problem mentioned already in Evans' survey⁵). Namely, we describe commutative semigroup varieties with modular subvariety lattices.

The main result of the paper is formulated in section 2 below. There we give also the part of its proof which does not depend on ¹⁸⁾. Results and constructions from ¹⁸⁾ we need are collected in section 3. Then in section 4 we finish the proof of the main result.

Let us fix some definitions and notation. We will say that a semigroup variety V is modular if the lattice $L(V)$ of its subvarieties is modular. If X and Y are two semigroup varieties and $X \subseteq Y$, then $[X, Y] = \{Z \mid X \subseteq Z \subseteq Y\}$. Variety given by a system of identities Σ (generated by a semigroup S) will be denoted by $\text{var}\Sigma$ (respectively, $\text{var}S$). If u is a word, then $l(u)$ is the length of u , $c(u)$ is the set of all letters occurring in u . For a letter $x \in c(u)$, the number of occurrences of x in u is denoted by $l_x(u)$. We say that words u and v are similar and write $u \approx v$ if v may be obtained by renaming of some letters of u . As usually we use a short form $u = 0$ denoting the system of identities $ux = xu = u$ where $x \in c(u)$. We write $u \triangleleft v$ if $u \equiv av^\alpha b$ for some (maybe empty) words a, b and some substitution α . We also fix notation for some "standard" varieties. (Here and throughout sections 2 and 4 we will take for granted that all varieties discussed satisfy the commutative law.)

$$A_n = \text{var}\{x^n y = y\};$$

$$C = \text{var}\{x^2 = x^3\};$$

$$S = \text{var}\{x^2 = x\};$$

$$N^* = \text{var}\{x^2 y = 0\};$$

$$T = \text{var}\{x = y\};$$

$$M_0 = \text{var}\{x^3 y^2 = x^4 = x_1 \dots x_6 = 0\};$$

$$X_0 = \text{var}\{x^3 = xyzt = 0, xyx = yxy\}.$$

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2. THE MAIN RESULT AND THE BEGINNING OF THE PROOF
 THEOREM. Let V be a commutative semigroup variety.
The following conditions are equivalent:

- a) V is modular;
 b) V does not contain the varieties $M_0, X_0 \vee A_p$ for
all prime numbers p , and $X_0 \vee C$;
 c) V satisfies one of the following systems of ident-
ities:

$$x^3 yz = xy^3 z, \quad x^6 = x^7; \quad (1)$$

$$x^3 yz = xy^2 z^2; \quad (2)$$

$$x^2 y^2 z = xy^2 z^2; \quad (3)$$

$$x^{n+2} y = x^2 y. \quad (4)$$

Proof. a) \rightarrow b). The non-modularity of the varieties $X_0 \vee A_p$ and $X_0 \vee C$ follows from Corollaries of Lemma 8 of ¹⁹⁾.
 It remains to prove that M_0 is not modular.

Let us consider varieties $M_1 - M_5$ which are given in M_0 by the following systems of identities:

$$M_1 : x^3 yz = xy^3 z;$$

$$M_2 : x^2 y^2 z = xy^2 z^2;$$

$$M_3 : x^3 yz = xy^2 z^2;$$

$$M_4 : x^3 yz = xy^3 z, \quad x^2 y^2 z = xy^2 z^2;$$

$$M_5 : x^3 yz = x^2 y^2 z.$$

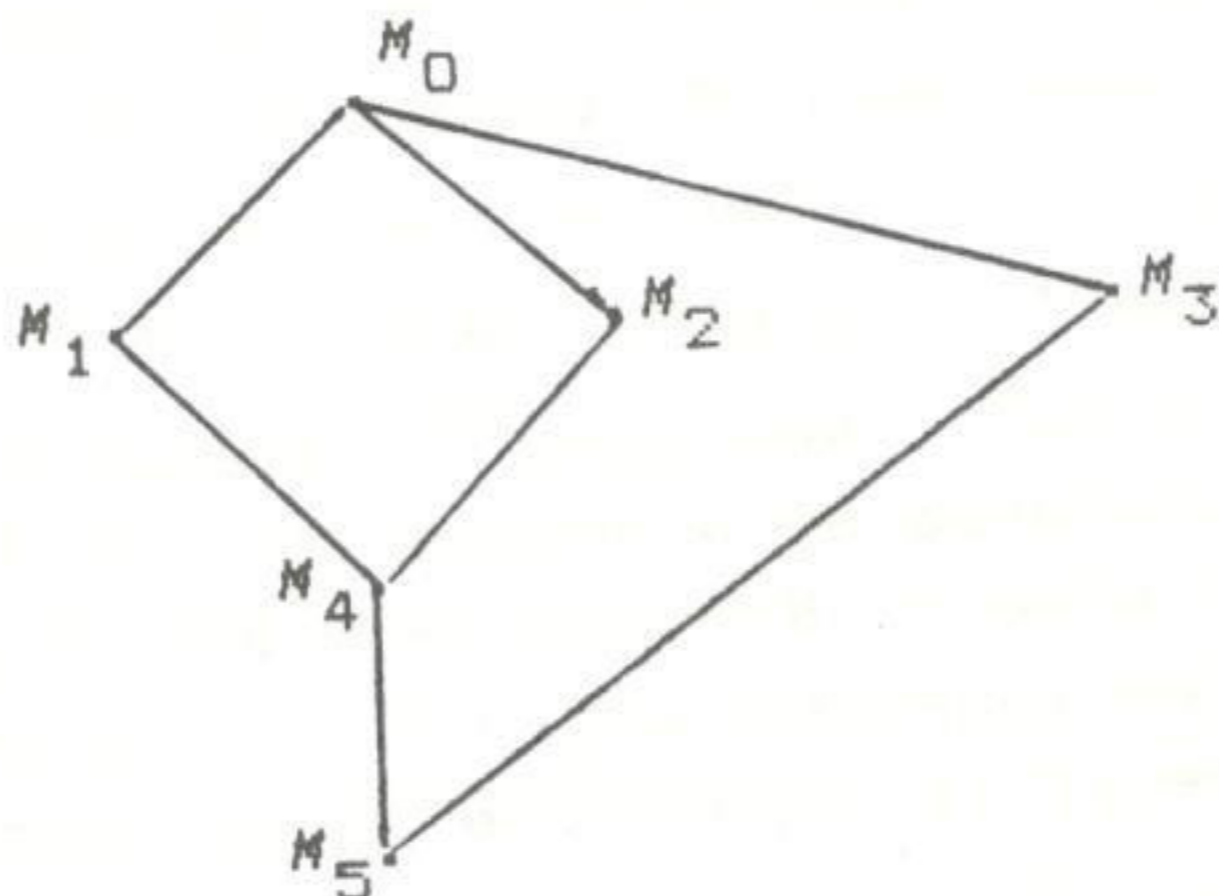


Figure 1

We are going to prove that the varieties $M_0 - M_5$ form the non-modular sublattice of $L(M_0)$ shown in Fig.1. Firstly, we verify that these varieties are different. Let F be the M_0 -free semigroup with generators a, b, c . It is clear that F generates M_0 . Further, let

$$A = \{a^3bc, ab^3c, abc^3, a^2b^2c, a^2bc^2, ab^2c^2\}.$$

Clearly, A is the set of all non-zero words of the length 5 from F . Consider partitions $\pi_1 - \pi_5$ of A with the following non-singleton classes:

$$\pi_1 : \{a^3bc, ab^3c, abc^3\};$$

$$\pi_2 : \{a^2b^2c, a^2bc^2, ab^2c^2\};$$

$$\pi_3 : \{a^3bc, ab^2c^2\}, \{ab^3c, a^2bc^2\}, \{abc^3, a^2b^2c\};$$

$$\pi_4 : \{a^3bc, ab^3c, abc^3\}, \{a^2b^2c, a^2bc^2, ab^2c^2\};$$

$$\pi_5 : A.$$

Let μ_i be the fully invariant congruence on F generated by π_i , $i = 1, \dots, 5$. It is clear that $A \subseteq \text{Ann}F$ and partitions $\pi_1 - \pi_5$ are $\text{Aut}F$ -invariant. Hence for each i , non-singleton μ_i -classes coincide with non-singleton π_i -classes. It means that $F/\mu_i \notin M_j$ if $j \neq i$. Since $F/\mu_i \in M_i$, all M_i are different.

Further, it is evident that $M_1 \cap M_2 = M_4$ and $M_1 \cap M_3 = M_2 \cap M_3 = M_4 \cap M_3 = M_5$. It remains to check that $M_1 \vee M_2 = M_0 = M_3 \vee M_4$. Since $\pi_1 \cap \pi_2$ and $\pi_3 \cap \pi_4$ are trivial, F is a subsemigroup of $F/\mu_1 \times F/\mu_2$ as well as of $F/\mu_3 \times F/\mu_4$, but as we have mentioned F generates M_0 and $F/\mu_i \in M_i$. ■

b) \rightarrow c). Let N be the largest nilsubvariety of V .

CASE 1. $X_0 \notin N$. Then $N \subseteq N^*$ by Lemma 7 of ¹⁹⁾. As it follows from ⁸⁾ (see also ¹⁹⁾, Proposition 1), $V = N \vee M$ where ⁷⁾ M is generated by a monoid. In its turn, it follows from ⁷⁾ that for some n $M = A_n \vee K$ where K is generated by a nilsemigroup with identity adjoined. It is shown in ¹⁹⁾ that either $X_0 \subseteq K$ (that is impossible in our case) or $K \subseteq C$. Thus, $V \subseteq N^* \vee A_n \vee C$. Since each of the varieties N^* , A_n , C satisfies the identity (4), V satisfies (4), too. ■

CASE 2. $X_0 \subseteq N$. By condition $C \in V$ and $A_p \in V$ for all prime numbers p . It implies that $V \subseteq SvN$ (see¹⁹⁾, proof of Theorem 1). Since S satisfies (1), (2), (3), it remains to check that N satisfies one of these systems.

Now we need two remarks concerning nilvarieties. The first of them is obvious.

LEMMA 1. If a variety N of nilsemigroups satisfies an identity $u = v$ such that $c(u) \neq c(v)$, then N satisfies also $u = 0$ and $v = 0$. ■

LEMMA 2. If a variety N of commutative nilsemigroups satisfies an identity $u = v$ such that $l(u) < l(v)$ and $u \triangleleft v$, then N satisfies also $u = 0$ and $v = 0$.

Proof. By the previous Lemma we may (and will) assume that $c(u) = c(v)$. Since $u \triangleleft v$, there exist (possibly, empty) words a, b and a substitution α such that $v \equiv au^\alpha b$. Let F be the N -free semigroup with $|c(u)|$ generators. Since $u = v$ holds in N , we have the following equalities in F :

$$u = v \equiv au^\alpha b = av^\alpha b \equiv a(au^\alpha b)^\alpha b = aa^\alpha u^{\alpha^2} b^\alpha b = \dots,$$

and it is easy to see that repeating this process, we can get $u = w$ with $l(w)$ so large as we want. Being finitely generated commutative nilsemigroup, F is nilpotent. Therefore $w = 0$ in F for any word w with sufficiently large $l(w)$. Thus, $u = 0$ in F (and in N). ■

Let us continue the proof of the implication $b) \rightarrow c)$. By condition $M_0 \in N$. The variety M_0 satisfies all identities of the kind $u = 0$ where either $l(u) \geq 6$ or $l_x(u) \geq 4$ for some x or $l_x(u) \geq 3, l_y(u) \geq 3$ for some different x and y . Therefore N should satisfy a non-trivial identity $u = v$ such that $u \in W$ where

$$W = \{x, xy, x^2, xyz, x^2y, x^3, xyzt, x^2yz, x^2y^2, x^3y, xyztu, x^2yzt, x^2y^2z, x^3yz\}.$$

It is clear that if $u \in W$, then $u = 0$ implies one of the systems (1)-(3). By Lemmas 1 and 2 we may assume that $c(u) = c(v)$ and if $l(u) \neq l(v)$, then u and v are incomparable under \triangleleft . Therefore the only possibilities for $u = v$ are

$$x^2y = xy^2; \tag{5}$$

$$x^2yz = xy^2z; \tag{6}$$

$$x^2 y^2 = x^3 y; \quad (7)$$

$$x^3 y = xy^3; \quad (8)$$

$$x^2 yzt = xy^2zt; \quad (9)$$

$$x^2 y^2 z = xy^2 z^2; \quad (10)$$

$$x^2 y^2 z = x^3 yz; \quad (11)$$

$$xy^2 z^2 = x^3 yz; \quad (12)$$

$$x^3 yz = xy^3 z; \quad (13)$$

$$x^2 y^2 z^2 = x^3 yz. \quad (14)$$

Evidently, each of the identities (5), (6), (9), (10) implies (3), (7) or (12) imply (2), and (8) or (13) imply (1). It remains to consider (11) and (14). Using the substitution which permutes x and y , we see that each of these identities implies (1). ■

3. LATTICES OF NILPOTENT VARIETIES

Here we recall some results and constructions from¹⁸⁾.

1. #-product. Let L and L' be lattices and $\delta: L \rightarrow L'$ be a meet-homomorphism. The set

$$L \#_{\delta} L' = \{(x, y) \mid x \in L, y \in L', \delta(x) \geq y\}$$

with the natural ordering is called #-product of L and L' over δ . Clearly, $L \#_{\delta} L'$ is a sublattice of $L \times L'$.

2. Insertion. Let (M, \leq) , (P, σ) be p.o. sets, and $M \cap P$ is empty. Let us fix two isotone maps $\alpha, \beta: P \rightarrow M$ such that $\beta(x) < \alpha(x)$ for each $x \in P$. Put

$$\sigma' = \{(x, y) \mid x, y \in P, \alpha(x) \leq \beta(y)\}.$$

Now we extend the relation \leq on $P \cup M$ by the way:

$$a) \leq|_P = \sigma \cup \sigma';$$

b) if $x \in M, y \in P$ then $x \leq y$ iff $x \leq \beta(y)$ and $y \leq x$ iff $\alpha(y) \leq x$.

It is easy to check that $(P \cup M, \leq)$ is a p.o. set. It is denoted by $\text{In}(\alpha, \beta, P, M)$ and is called insertion of P in M by α, β .

Let F be the absolutely free semigroup of countable rank. A variety \mathcal{V} of nilsemigroups will be called homogeneous if all elements of an arbitrary class A of the fully in-

variant congruence α corresponding to V have equal length excepting the case when A is the zero element of F/α .

Let us fix a homogeneous variety V of nilsemigroups. The variety given in V by the identity $x_1 \dots x_n = 0$ will be denoted by $V(n)$. A variety $M \in [V(k), V]$ is called *k-split* if M satisfies the identities $u = 0$ and $v = 0$ whenever it satisfies an identity $u = v$ with $l(u) \leq k$ and $l(v) > k$. A variety which is not *k-split* is called *k-non-split*. The set of all *k-split* (*k-non-split*) varieties of $[V(k), V]$ is denoted by L_k (respectively, P_k). It is easy to see that L_k is a sublattice of $[V(k), V]$. As it was proved in¹⁸⁾, for any $N \in P_k$ varieties $\alpha_k(N) = \bigcap \{A \in L_k \mid N \subseteq A\}$ and $\beta_k(N) = \bigcup \{A \in L_k \mid A \subseteq N\}$ belong to L_k . Moreover, it is possible to describe identity bases of these varieties.

Further let $A \in [V(k), V(k+1)]$. Then A may be given in V by a system of identities of the following kind:

$$x_1 \dots x_{k+1} = 0, \quad u_i = 0, \quad v_j = w_j \quad (i \in I, j \in J)$$

where $l(u_i) = l(v_j) = l(w_j) = k$ for all i, j . We denote by $\mu_k(A)$ the variety given in V by the identities

$$u_i = 0, \quad v_j = w_j \quad (i \in I, j \in J).$$

(It was verified in¹⁸⁾ that $\mu_k(A)$ does not depend on the choice of a basis of A). Put $\delta_k(A) = \mu_k(A) \vee V_{k+1}$. The mapping $\delta_k: [V(k), V(k+1)] \rightarrow [V(k+1), V]$ was proved to be a meet-homomorphism in¹⁸⁾.

The results of¹⁸⁾ can be summarized in the following

PROPOSITION. a) $[V(k), V] \cong \text{In}(\alpha_k, \beta_k, P_k, L_k)$.

b) $L_k \cong [V(k), V(k+1)] \#_{\delta_k} [V(k+1), V]$. ■

Let now V be a homogeneous and nilpotent of index s variety. Then $V(s) = V$, and our Proposition reduces the description of the lattice $L(V)$ to the description of the intervals $[V(1), V(2)], \dots, [V(s-2), V(s-1)], [V(s-1), V(s)]$ and of the p.o.sets P_1, \dots, P_{s-2} . In particular if V does not contain *k-non-split* varieties for each $k \leq s$ then $L(V)$ is embeddable into the direct product of the intervals $[V(1), V(2)], [V(2), V(3)], \dots, [V(s-1), V(s)]$.

4. THE MAIN RESULT: THE END OF THE PROOF

c) \longrightarrow a). Let us consider two cases.

CASE 1. V satisfies (4). We are going to prove that in this case $L(V)$ is even distributive. Let N be the largest nilsubvariety of V . By Lemma 2 N satisfies $x^2y = 0$. Repeating the proof of the case 1 of the implication b) \longrightarrow c), we obtain that $V \subseteq N^* \vee A_n \vee C$ for some n . Thus, it suffices to verify that the lattice $L(N^* \vee A_n \vee C)$ is distributive. By Proposition 2 of ¹⁷⁾, it is isomorphic to the direct product of $L(N^* \vee C)$ and of $L(A_n)$. Since the subvariety lattice of any abelian group variety is known to be distributive, it remains to verify that the same is true for $N^* \vee C$.

For a variety X let $\text{mon}X$ denote the monoid part of X , i.e. the subvariety of V generated by all its monoids. Using the classification of commutative monoid varieties given by Head ⁷⁾, we can see that there are only three possibilities for the monoid part of a variety $X \subseteq N^* \vee C$:

$$\text{mon}X = T;$$

$$\text{mon}X = S;$$

$$\text{mon}X = C.$$

Let Q denote the three-element chain $\{T, S, C\}$ and L denote the subset of the direct product $L(N^*) \times Q$ consisting of all pairs (A, B) such that $B \cap N^* \subseteq A$. It is easy to see that L is, in fact, a sublattice of $L(N^*) \times Q$.

The distributivity of the lattice $L(N^* \vee C)$ follows now from ¹⁶⁾ (where distributivity of $L(N^*)$ was proved) and from the following

LEMMA 3. The mapping

$$f: V \longrightarrow (V \cap N^*, \text{mon}V)$$

is an isomorphism of the lattice $L(N^* \vee C)$ on the lattice L .

Proof. It is well known that every isotone bijection of lattices having isotone inverse is a lattice isomorphism. Since f is obviously isotone, it remains to check that f is injective and surjective, and that f^{-1} is isotone.

1. f is injective. Since C satisfies $x^2 = x^3$, $N^* \vee C$ satisfies $x^2y = x^3y$. By Lemma 2 every commutative nilsemigroup with the last identity satisfies $x^2y = 0$. Therefore every nilsemigroup of $N^* \vee C$ lies in N^* .

Now let X be a subvariety of $N^* \vee C$. By ^{B)} X should equal the join of some variety of nilsemigroups A and of a variety B generated by a monoid. Clearly, $B \subseteq \text{mon}X$ and by above-proved remark $A \subseteq X \cap N^*$. Thus,

$$X = A \vee B \subseteq (X \cap N^*) \vee \text{mon}X \subseteq X,$$

and $X = (X \cap N^*) \vee \text{mon}X$ is uniquely determined by $f(X)$. ■

2. f is surjective. Let $(A, B) \in L$. Proving injectivity, we showed that

$$A \vee B = ((A \vee B) \cap N^*) \vee \text{mon}(A \vee B).$$

However, in the proof of Proposition 2 of the paper¹⁷⁾ it was verified that if $A \subseteq N^*$, $B \in Q$ and $B \cap N^* \subseteq A$, then A and B are determined uniquely by their join $A \vee B$. This implies that $A = (A \vee B) \cap N^*$ and $B = \text{mon}(A \vee B)$, i.e. $(A, B) = f(A \vee B)$. ■

3. f^{-1} is isotone. Proving surjectivity, we showed that $f^{-1}((A, B)) = A \vee B$; this means, in particular, that f^{-1} is isotone. ■

CASE 2. V satisfies either (1) or (2) or (3). It is clear that $\text{mon}V \subseteq S$. Using ^{B)}, we see that $V \subseteq S \vee N$ where N is the largest nilsubvariety of V . By well-known result of ¹⁰⁾, $L(S \vee N)$ is isomorphic to the direct product of $L(N)$ and of two-element chain. Therefore it suffices to prove that N is modular. By the following Lemma, we may assume additionally that N is nilpotent.

LEMMA 4. Let N be a non-modular variety of commutative nilsemigroups. Then N contains a non-modular nilpotent subvariety.

Proof. Let the modular law fail for $X, Y, Z \in L(N)$, i.e. $Y \subseteq X$ and $X \cap (Y \vee Z) \neq Y \vee (X \cap Z)$. Since N is locally nilpotent, every subvariety of N is generated by its finite members. Hence there exists a finite semigroup S such that $S \in X \cap (Y \vee Z)$, but $S \notin Y \vee (X \cap Z)$. Since $S \in Y \vee Z$, S should be a homomorphic image of a $(Y \vee Z)$ -free semigroup of a finite rank. This semigroup is nilpotent (because N is locally nilpotent), and it is a subdirect product of semigroups $A \in Y$ and $B \in Z$. Put $X' = \text{var}(S \times A)$, $Y' = \text{var}A$, $Z' = \text{var}B$. Then $Y' \subseteq X'$, and $S \in X' \cap (Y' \vee Z')$. On the other hand, $X' \subseteq X$, $Y' \subseteq Y$, $Z' \subseteq Z$, and hence $S \in Y' \vee (X' \cap Z')$. We see that $X' \vee Z'$ is a non-modular nilpotent subvariety of N . ■

Thus, let N be nilpotent of index s .

SUBCASE 2.1. N satisfies (3). Clearly, we may assume that $N = \text{var}\{x_1 \dots x_s = 0, x^2 y^2 z = xy^2 z^2\}$. It suffices to prove the following two statements (see remark at the end of section 3):

- (a) there are no k -non-split subvarieties in N for any $k < s$;
 (b) all intervals of the kind $[N(k), N(k+1)]$ ($k < s$) are modular.

Let us prove (a) at first. Note that $x^2 y^2 zt = 0$ in N . Indeed, substituting zt for z in (3), we obtain

$$x^2 y^2 zt = xy^2 z^2 t^2$$

and $x^2 y^2 zt = 0$ in N by Lemma 2. Hence if $u = 0$ does not hold in N , then u is similar to a word from W where

$$W = \{x_1 \dots x_n, x_1^2 x_2 \dots x_n, x_1^3 x_2 \dots x_n, x^2 y^2, x^2 y^2 z, x^3 y, x^3 y^2, x^4, x^4 y, x^5\}.$$

It is easy to verify that if $u \approx u' \in W$, $v \approx v' \in W$, $c(u) = c(v)$ and $l(u) < l(v)$, then $u \triangleleft v$. By Lemma 2, $u = v$ implies $u = 0$. ■

Let us prove (b). If $k \leq 4$, then (b) follows from ¹¹⁾. The interval $[N(5), N(6)]$ is shown in Fig. 2. The verification here is routine, and we allow ourselves to omit it. We see that $[N(5), N(6)]$ is modular.

Now let $k \geq 6$. Then the interval $[N(k), N(k+1)]$ is the chain shown in the Fig. 3. Indeed, let $N(k) \subseteq X \subseteq N(k+1)$ and $u = v$ be an identity of X such that $l(u) = k$. We may (and will) suppose that $u \in W$ and either $v \equiv 0$ or $l(v) = k$ and $v \approx v' \in W$. It is clear that under these conditions $u = v$ is equivalent to one of the following identities:

$$x_1 \dots x_k = 0, \quad x_1^2 x_2 \dots x_{k-1} = 0, \quad x_1^2 x_2 \dots x_{k-1} = x_1 x_2^2 \dots x_{k-1}, \\ x_1^3 x_2 \dots x_{k-2} = 0, \quad x_1^3 x_2 \dots x_{k-2} = x_1 x_2^3 \dots x_{k-2}.$$

In this sequence of identities every identity implies the next one (it is clear for all the cases excepting maybe $x_1^2 x_2 \dots x_{k-1} = x_1 x_2^2 \dots x_{k-1}$; but here it is sufficient to substitute $x_1 x_2$ for x_2 and use $x^2 y^2 zt = 0$). It is obvious that these identities determine different varieties. Hence $[N(k), N(k+1)]$ is really a 6-element chain and therefore is modular. ■

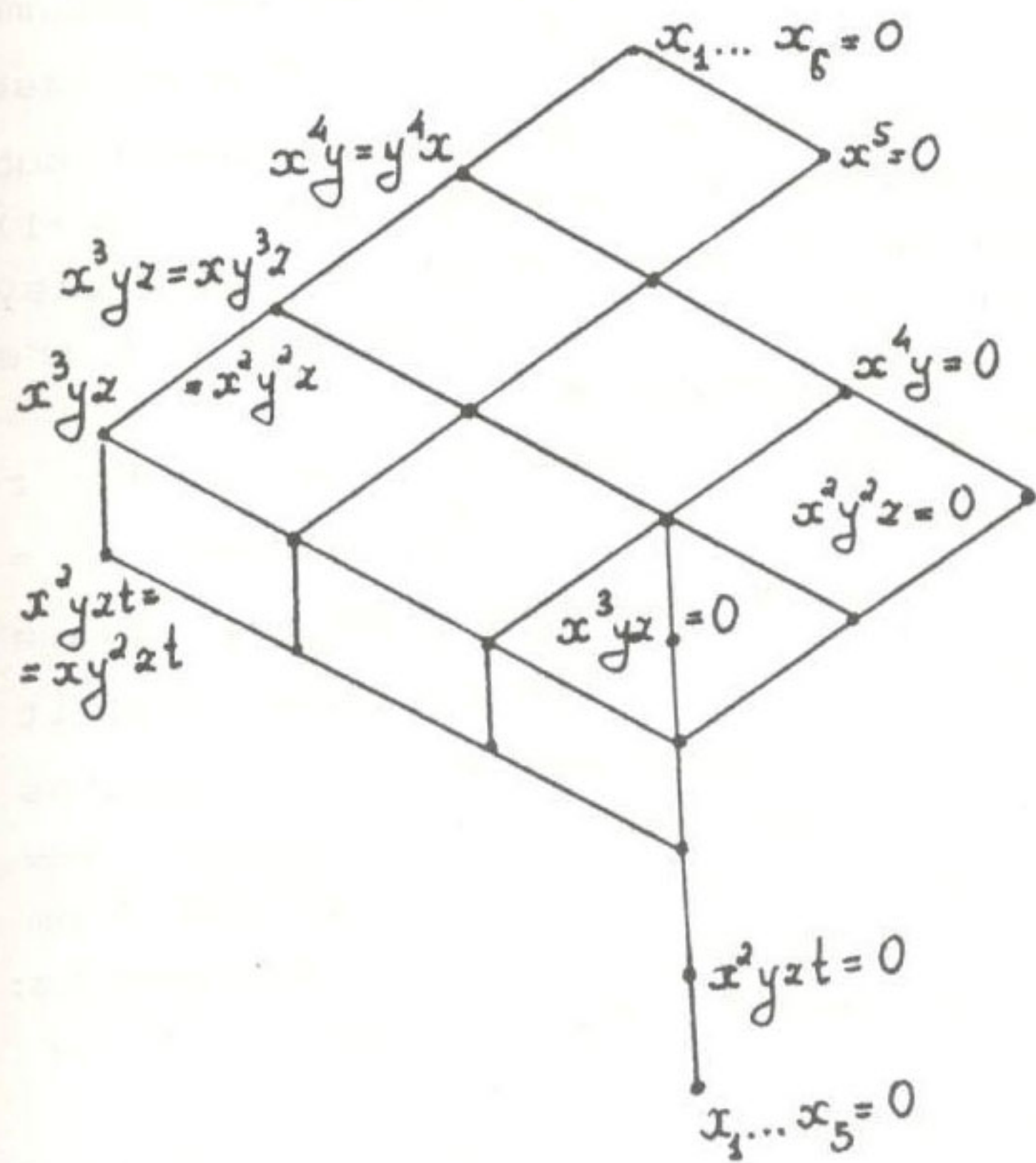


Figure 2

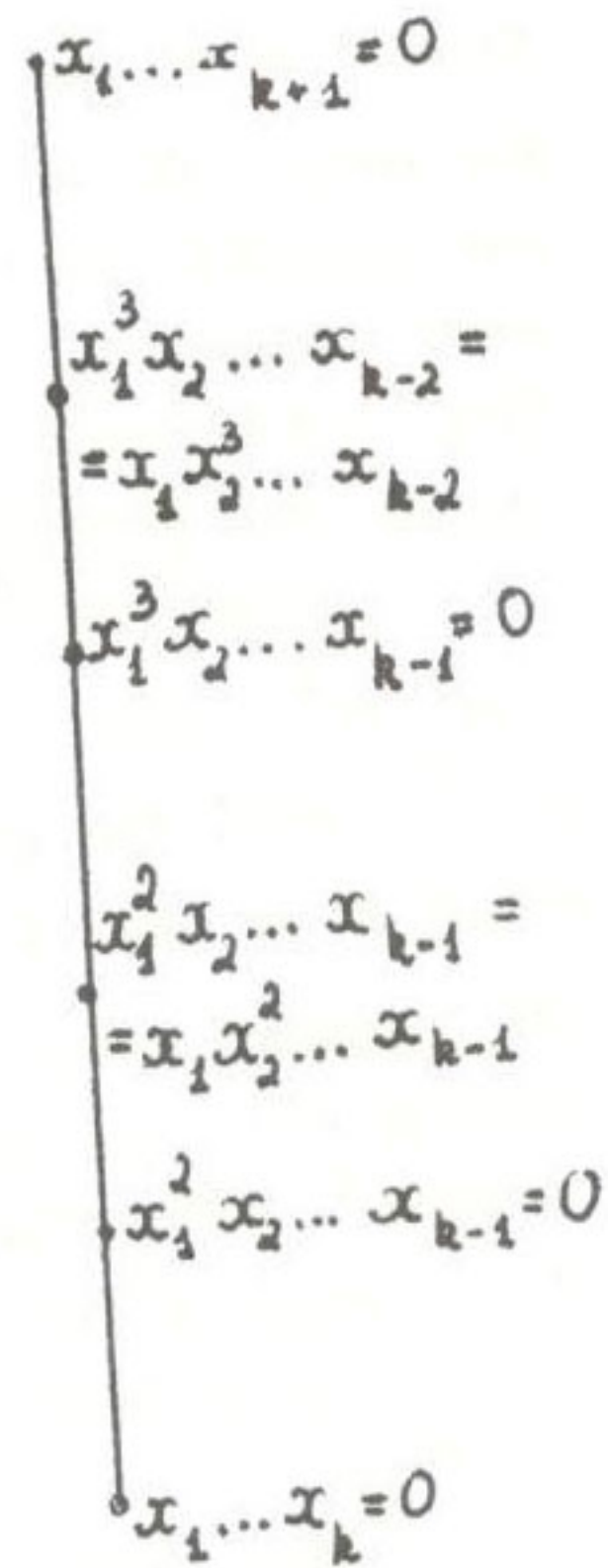


Figure 3

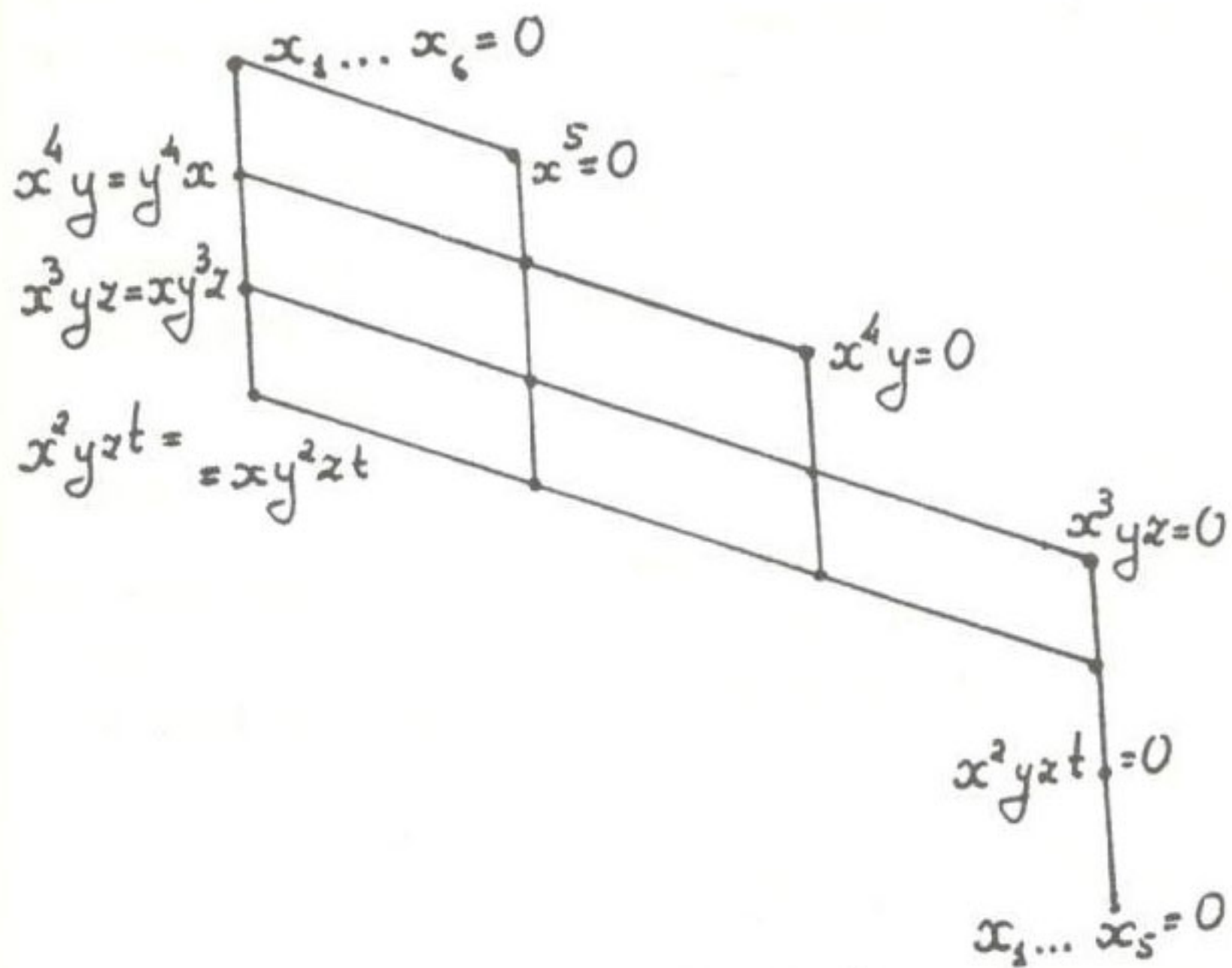


Figure 4

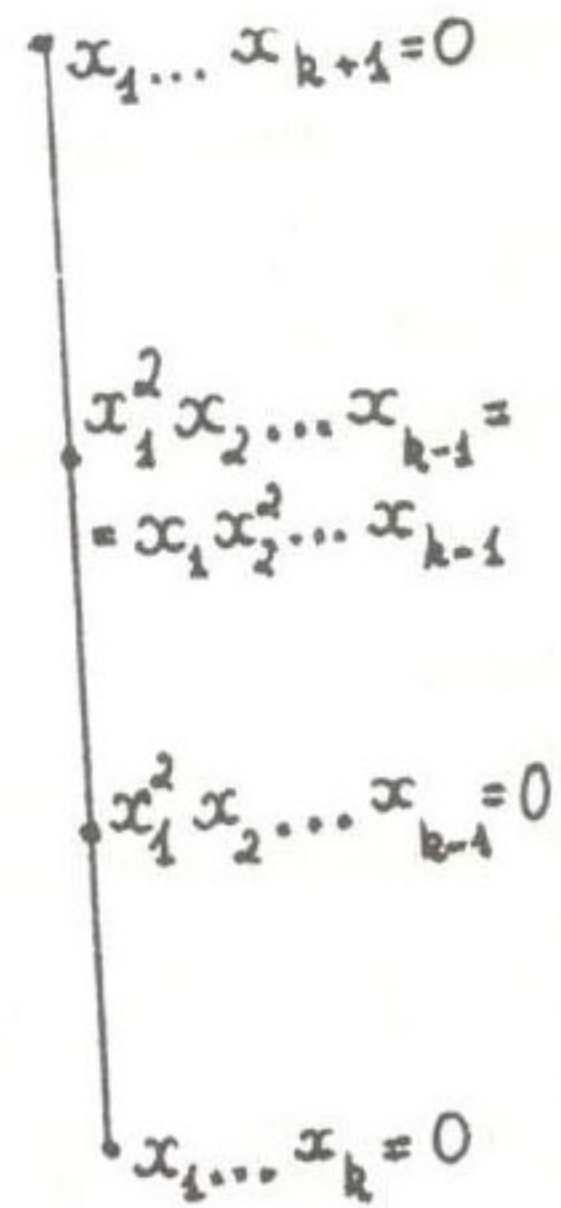


Figure 5

SUBCASE 2.2. N satisfies (2). Clearly, we may assume that $N = \text{var} \{x_1 \dots x_s = 0, x^3 yz = xy^2 z^2\}$. In this subcase as well as in the previous one there are no k -non-split subvarieties of N and all intervals of the kind $[N(k), N(k+1)]$ are modular. We omit the verification which is very easy. The intervals $[N(5), N(6)]$ and $[N(k), N(k+1)]$, $k \geq 6$ are shown in the Fig.4 and 5 respectively. ■

SUBCASE 2.3. N satisfies (1). Substituting x for z and x^2 for y in the identity $x^3 yz = xy^3 z$, we get $x^6 = x^8$, and by Lemma 2 $x^6 = 0$ in N . Therefore we may assume that $N = \text{var} \{x_1 \dots x_s = 0, x^3 yz = xy^3 z\}$. It is clear that it is homogeneous. Unfortunately, in this subcase N contains non-split subvarieties, namely 5-non-split subvarieties (see Lemma 5 below). Nevertheless, N is modular. It follows from the results of section 3 and from the next three statements:

(a') there are no k -non-split subvarieties in N for $k < 5$;

(b) all intervals of the kind $[N(k), N(k+1)]$ ($k < s$) are modular;

(c) the interval $[N(5), N]$ is modular.

The statement (a') can be checked easily. Let us prove (b). For $k \leq 4$ the modularity of $[N(k), N(k+1)]$ follows from ¹¹⁾. The interval $[N(5), N(6)]$ is shown in Fig.6. The verification here is routine. We see that this interval is modular.

Now let $k \geq 6$. Substituting yt for y in the identity $x^3 yz = xy^3 z$, we obtain $x^3 yzt = xy^3 zt^3$, and by Lemma 2 $x^3 yzt = 0$ in N . Hence if $l(u) = k$ and $u = 0$ does not hold in N , then u is similar to a word of the type

$$x_1^2 \dots x_h^2 x_{h+1} \dots x_d \quad (15)$$

where $0 \leq h \leq k/2$ and $d = k-h$. We see that if an identity $u = v$ holds in $N(k)$, but does not hold in $N(k+1)$, then it should be equivalent to one of the following identities:

$$I_h^k: x_1^2 \dots x_h^2 x_{h+1} \dots x_d = 0,$$

$$I_h^k(\sigma): x_1^2 \dots x_h^2 x_{h+1} \dots x_d = x_{\sigma(1)}^2 \dots x_{\sigma(h)}^2 x_{\sigma(h+1)} \dots x_{\sigma(d)},$$

where $\sigma \in \Sigma(1, \dots, d)$, but $\sigma \notin \Sigma[h, d] = \Sigma(1, \dots, h) \times \Sigma(h+1, \dots, d)$.

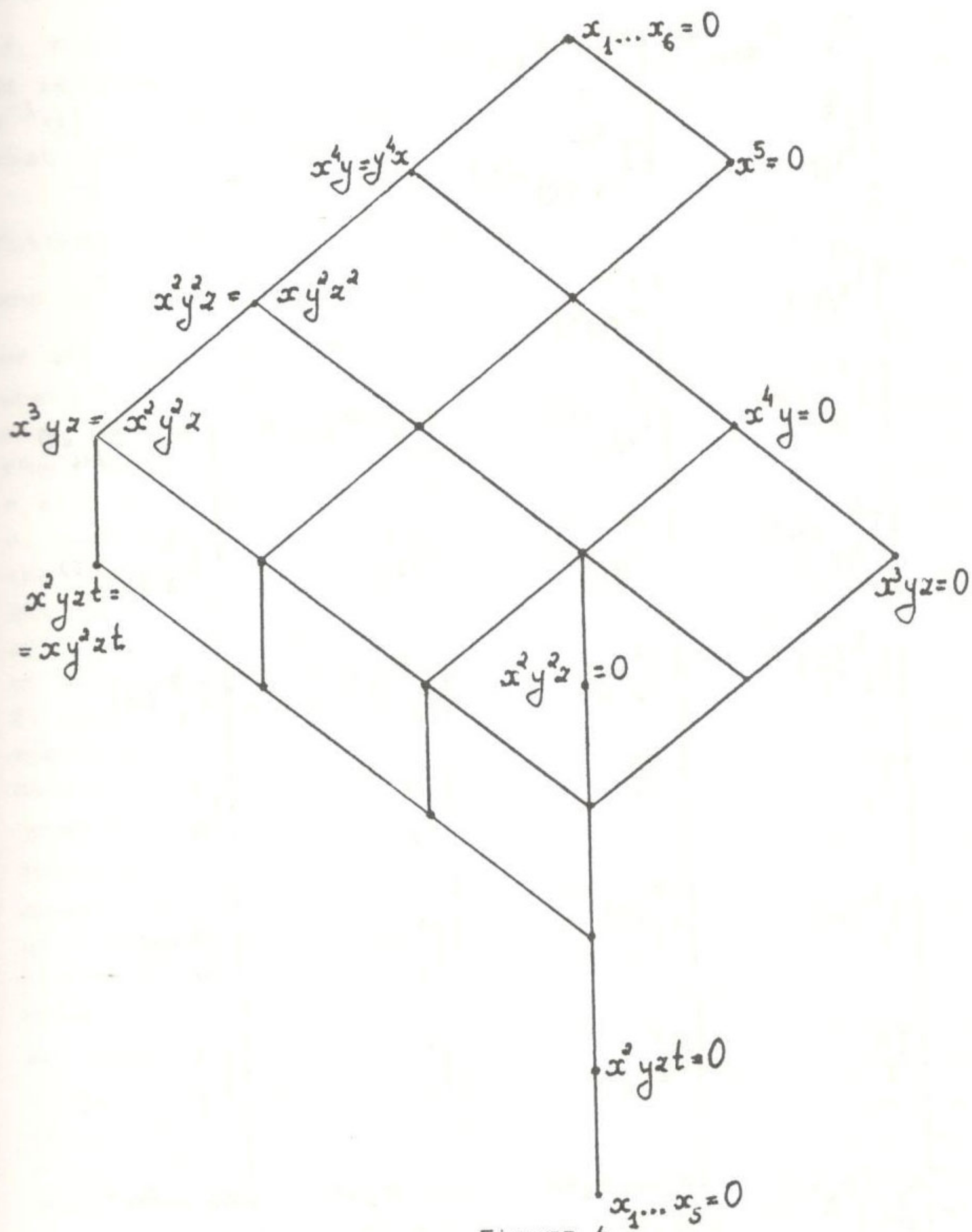


Figure 6

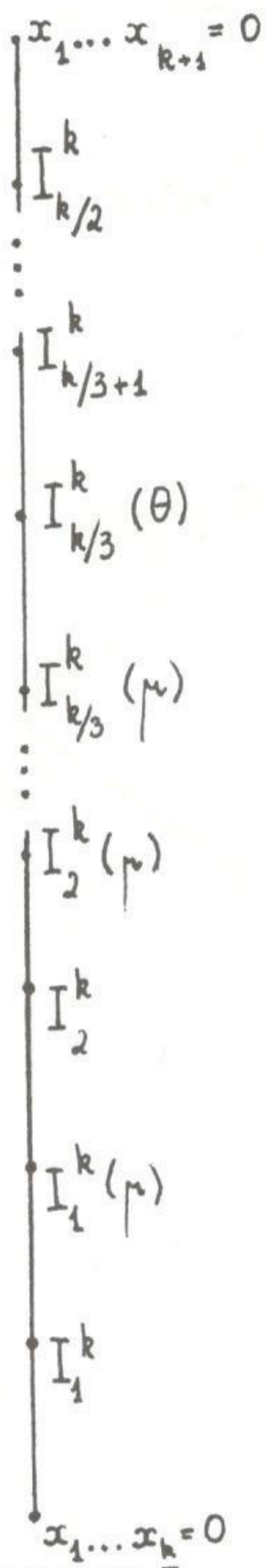


Figure 7

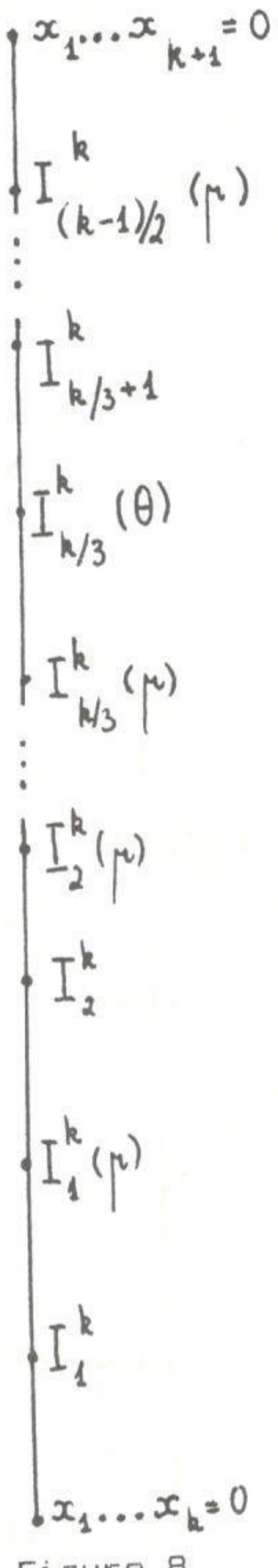


Figure 8

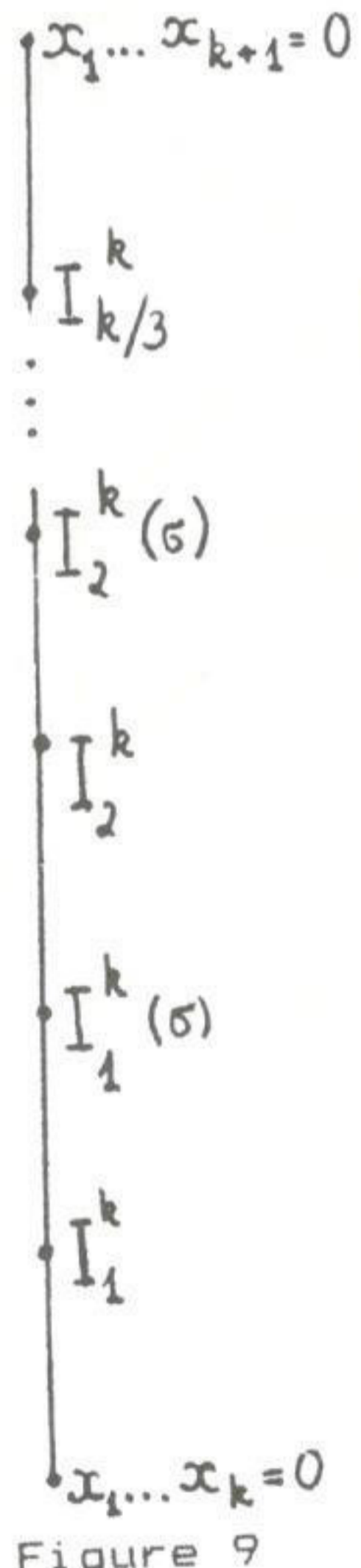


Figure 9

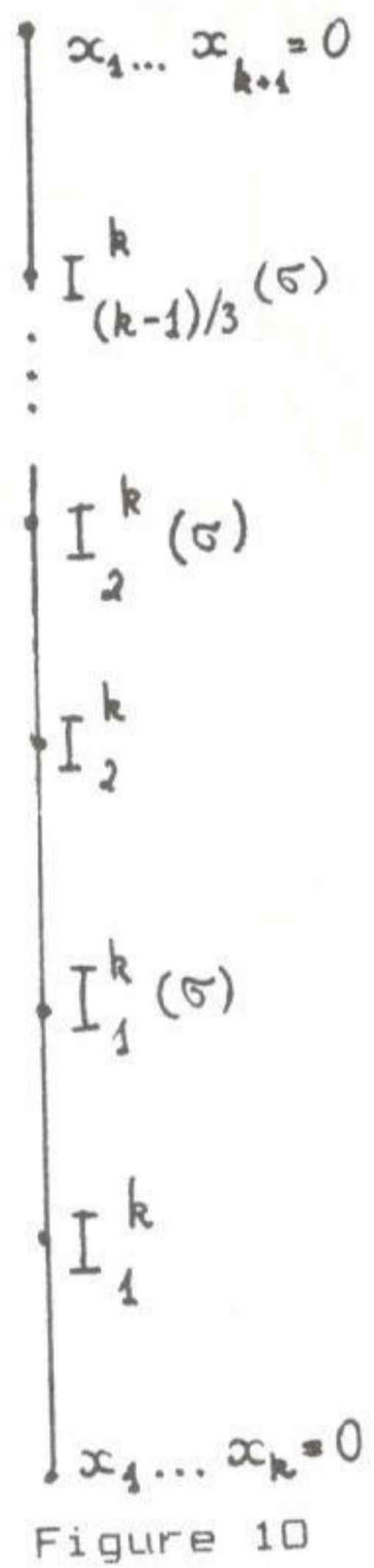


Figure 10

(Here $\Sigma(p, \dots, q)$ denotes the permutation group on the set $\{p, p+1, \dots, q\}$.) It is clear that I_r^k implies I_h^k and $I_h^k(\sigma)$ if $r \leq h$. Let us prove that $I_r^k(\sigma)$ implies I_h^k if $r < h$. It is clear that there is an index i such that $i \leq r$ and $\sigma^{-1}(i) > r$. We may assume also that there exists $j \neq i$ such that $\sigma^{-1}(j) > r$ because $r < h$ implies

$$(k-r) - r > k - 2h + 1 \geq k - 2(k/2) + 1 = 1.$$

Putting $x_j = x_i$ in I_r^k , we obtain x_i^2 in its right part and x_i^3 (or x_i^4) in its left part. Since $x^3 y z t = 0$ in N ,

we get I_{r+1}^k . Now we prove that all the identities $I_h^k(\sigma)$ where h is fixed and σ varies, are equivalent excepting the case when $h = k/3$ and $\sigma(i) > h$ for each $i \leq h$. Indeed, there exists an index j such that $h < j \leq d$ and $1 \leq i = \sigma(j) \leq h$. Let us suppose that there exists also an index n such that $1 \leq n \leq h$ and $1 \leq m = \sigma(n) \leq h$. It is clear that $\sigma(im)\sigma^{-1} = (jn)$ and $(qr) = (nr)(jn)(qj)(jn)(nr)$ for any $q > h$ and $r \leq h$. It means that all transpositions of $\Sigma(1, \dots, d)$ belong to the subgroup generated by σ and by $\Sigma[h, d]$, and hence this subgroup coincides with $\Sigma(1, \dots, d)$. By duality, the same is true also when there is an index n' such that $h < n' \leq d$ and $h < m' = \sigma(n') \leq d$. It is clear, however, that if a permutation μ belongs to the subgroup generated by σ and by $\Sigma[h, d]$, then $I_h^k(\sigma)$ implies $I_h^k(\mu)$. Therefore, if both σ and μ satisfy one of the assumptions above, the corresponding identities are equivalent. If none of these assumptions holds, then we obtain the exception mentioned above. All exceptional permutations form (together with $\Sigma[k/3, 2k/3]$) a subgroup Σ^* . It is easy to see that all identities $I_{k/3}^k(\theta)$ where $\theta \in \Sigma^* \setminus \Sigma[k/3, 2k/3]$ are mutually equivalent and do not imply $I_{k/3}^k(\mu)$ for any $\mu \in \Sigma^*$. Now it is clear that the interval $[N(k), N(k+1)]$ is the chain shown in Fig. 7 (if 6 divides k) or in Fig. 8 (if 3 divides k and 2 does not) or in Fig. 9 (if 2 divides k and 3 does not) or in Fig. 10 (if 2 and 3 do not divide k). There σ, μ and θ have the same sense as above, i.e. $\sigma \in \Sigma(1, \dots, d) \setminus \Sigma[h, d]$, $\mu \in \Sigma(1, \dots, d) \setminus \Sigma^*$ and $\theta \in \Sigma^* \setminus \Sigma[k/3, 2k/3]$. ■

To prove (c) we verify firstly the interval $[N(6), N]$ is modular. Thanks to (b) and to the results of section 3, it is sufficient to prove that for each $k \geq 6$, any variety in $[N(k), N]$ is k -split. Suppose that X is a k -non-split subvariety of $[N(k), N]$ where $k \geq 6$. Then X satisfies an identity $u = v$ such that $l(u) = k$, $l(v) = r > k$ and $u = 0, v = 0$ do not hold in X (and hence in N). We noted above that in such a case $u \approx u'$ for some u' of the type (15) and $v \approx x_1^2 \dots x_q^2 x_{q+1} \dots x_p$ where $0 \leq q \leq r/2$ and $p = r - q$. If $d \neq p$, then $c(u) \neq c(v)$, and $u = v = 0$ in X by Lemma 1. If $d = p$, then $q > h$ and therefore $u \ll v$. This implies $u = v = 0$ in X by Lemma 2. ■

Now we are going to describe 5-non-split subvarieties of $[N(5), N]$. Such a variety should satisfy an identity $u = v$ such that $l(u) = 5$, $l(v) = k > 5$, $u = 0, v = 0$ does not hold in N . Then we may assume that

$$u \in \{x_1 \dots x_5, x^2 y z t, x^2 y^2 z, x^3 y z, x^3 y^2, x^4 y, x^5\}$$

and v is similar to a word of the type (15). Using Lemmas 1 and 2, it is easy to see that the only possibility for u is $u \equiv x^3 y z$, and hence $v \equiv x^2 y^2 z^2$. Now we can prove

LEMMA 5. If M is a 5-non-split variety from $[N(5), N]$, then M may be given in N by the identities (14), I_0^n, I_1^m

or $I_1^m(\sigma), I_2^k$ or $I_2^k(\sigma)$, where $7 \leq k \leq m \leq n$.

Proof. The fact that M satisfies (14) is proved above. Substituting zt for z in (14), we get $x^3 y z t = x^2 y^2 z^2 t^2$. On the other hand, multiplying (14) by t , we have $x^3 y z t = x^2 y^2 z^2 t$. Hence $x^2 y^2 z^2 t^2 = x^2 y^2 z^2 t$, and I_3^7 holds in M by Lemma 2. We mentioned above that every identity $u = v$ where $l(u) \geq 7$ and $l(v) \geq 7$ is equivalent in N to I_h^k or to $I_h^k(\sigma)$ for some k, h and σ . Since I_3^7 holds in M , only identities with $h = 0, 1$ or 2 should be included in a basis of this variety. It remains to consider identities $u = v$ where $l(u) \leq 6$. It is easy to see, however, that such an identity either follows from (14) or implies $x^2 y^2 z^2 = 0$. The latter case is impossible because M is 5-non-split. ■

Now we can prove modularity of $[N(5), N]$ by direct verifying of the modular law. Suppose that

$$X \subseteq Y \longrightarrow (XvZ) \cap Y = Xv(Y \cap Z)$$

fails for some $X, Y, Z \in [N(5), N]$. By section 3, the lattice of all 5-split subvarieties of N is embeddable in the direct product $[N(5), N(6)] \times [N(6), N]$, and hence is modular. Therefore at least one of the varieties X, Y and Z is 5-non-split. Since N is nilpotent, the interval $[N(5), N]$ is finite. By Exercise 3 of section 4.1 of ⁶⁾ we may assume that Y covers X . It is clear that under this assumption,

$$(XvZ) \cap Y = Y \quad \text{and} \quad Xv(Y \cap Z) = X. \quad (16)$$

There are four possibilities to consider:

- (i) X is 5-non-split and Y is 5-split;
- (ii) X is 5-split and Y is 5-non-split;
- (iii) X and Y are 5-non-split;
- (iv) X and Y are 5-split and Z is 5-non-split.

(i) X is 5-non-split and Y is 5-split. Suppose that (14) holds in Z . Then it holds in XvZ by Lemma 5. By (16), $Y \subseteq XvZ$, and hence Y satisfies (14). But it is impossible because Y is split and satisfies $x^3yz = 0$ whenever it satisfies (14). Thus it remains to prove that (14) holds in Z .

Let $W = \{x^3yz, x^2y^2z, x^2y^2z^2\}$. Recall that a word u is said to be *isoterm* in N for a variety A if A does not satisfy non-trivial (in N) identities of the kind $u = v$.

LEMMA 6. All words of W are isoterms in N for Y .

Proof. Let $u \in W$ and Y satisfies $u = v$ for some v . If $l(u) \neq l(v)$, this identity implies $u = 0$ because Y is 5-split. However, Y does not satisfy identities of the kind $u = 0$ where $u \in W$. By the same reason, we may assume that $c(u) = c(v)$. Now let $u \equiv x^3yz$. Then our identity coincides with one of the identities (11)–(13). Since (13) holds in N , it may be excluded. Further, (11) implies (12). Suppose that Y satisfies (12). Substituting x^2 for x , we get $x^2y^2z^2 = 0$, but this identity does not hold in Y . If $u \equiv x^2y^2z$, our identity should coincide with (10). Substituting z^2 for z , we again get $x^2y^2z^2 = 0$. Finally, if $u \equiv x^2y^2z^2$, we get the same conclusion because all words v different from u and such that $l(v) = 6$ and $c(v) = \{x, y, z\}$, equal 0 in N . ■

Let τ and δ be fully invariant congruences on the N -free semigroup of countable rank corresponding to Y and to Z respectively. By (16) $YNZ \subseteq X$, and by Lemma 5 YNZ satisfies (14). Therefore there exist u_0, u_1, \dots, u_{2n} such that $u_0 \equiv x^3yz$, $u_{2n} \equiv x^2y^2z^2$ and $u_i \tau u_{i+1} \delta u_{i+2}$ for $i = 0, 2, \dots, 2n-2$. If all the words u_0, u_1, \dots, u_{2n} are similar to ones from W , then for all even i , we have $u_i = u_{i+1}$ in N by Lemma 6 and $u_0 \delta u_{2n}$, i.e. (14) holds in Z . As we mentioned above, it is enough to obtain a contradiction. Therefore we suppose that a word in our sequence is not similar to members of W . Let j be the least index such that u_j has this property. By Lemma 6 j should be even and $u_i = u_{i+1}$ in N for all even $i < j$. Hence $u_0 \delta u_j$, i.e. Z satisfies $x^3yz = u_j$. It is easy to see that either $u_j = 0$ in N or $c(u_j) \neq \{x, y, z\}$. In both the cases Z satisfies $x^3yz = 0$. Dually, considering the most index k such that u_k is not similar to words of W , we obtain that Z satisfies $x^2y^2z^2 = 0$. Thus (14) again holds in Z . ■

(ii) X is 5-split and Y is 5-non-split. Lemma 5 shows that X satisfies $x^3yz = 0$ and $x^2y^2z^2 = 0$. By (16) $YNZ \subseteq X$, and hence these identities hold in YNZ too. Suppose that one of them holds in Z . Then it holds also in $Y = (XvZ)NY$, but this impossible because Y is 5-non-split. Thus it remains to prove that Z satisfies $x^3yz = 0$ or $x^2y^2z^2 = 0$.

The next observation follows easily from Lemma 5:

LEMMA 7. Let M be a 5-non-split variety of $[N(5), N]$.

a) x^2y^2z is isoterm in N for M .

b) If $x^3yz = v$ holds in M , then $v = x^2y^2z^2$ in N .

c) If $x^2y^2z^2 = v$ holds in M , then $v = x^3yz$ in N . ■

Now let u_0, \dots, u_{2n} be a sequence of words such that $u_0 \equiv x^3yz$, $u_{2n} = 0$ in N and $u_i \tau u_{i+1} \delta u_{i+2}$ for all $i = 0, 2, \dots, 2n-2$. Let j be the least index such that u_j is not similar to words of W . (Here W , δ and τ have the same sense as in (i) above.) By Lemma 7 j should be even, and $u_{j-1} \delta u_j$. Clearly, $u_j = 0$ in N or $c(u_j) \neq \{x, y, z\}$. In

both the cases Z satisfies $u_{j-1} = 0$. However u_{j-1} is similar to a word from W , and hence either $x^3yz = 0$ or $x^2y^2z^2 = 0$ in Z . As we have seen, this leads to a contradiction. ■

(iii) X and Y are 5-non-split. There exists an identity J which holds in X , but fails in Y . By (16) $YNZ \subseteq X$, and hence J holds in YNZ . It is sufficient to prove that J holds in Z . Indeed, in this case it would hold in Y by (16), but this is impossible. By Lemma 5 $J \in \{I_0^n, I_1^m, I_1^m(\sigma), I_2^k, I_2^k(\sigma)\}$. Let us consider each of these possibilities.

1. $J = I_0^n$. Using Lemma 1, one can verify that $x_1 \dots x_n$ is isotherm in N for any variety which does not satisfy I_0^n (in particular for Y). This implies easily that if I_0^n does not hold in Z , it also cannot hold in YNZ . ■

2. $J = I_1^m$. Using Lemma 1 and the identity $x^3yzt = 0$ holding in N , it is easy to verify that if a subvariety of N does not satisfy I_1^m , the only non-trivial (in N) identity of the kind $x_1^2 x_2 \dots x_n = v$ which could hold in this subvariety is $I_1^m(\sigma)$. This remark is valid in particular for Y . This implies easily that if I_1^m does not hold in Z , it also cannot hold in YNZ . ■

3. $J = I_2^k$. This case is completely analogous to the previous one. ■

4. $J = I_1^m(\sigma)$. As we mentioned, this identity does not really depend on σ and follows from every identity of the kind $x_1^2 x_2 \dots x_n = v$ which is non-trivial in N . Therefore the word $x_1^2 x_2 \dots x_n$ should be isotherm in N for Y . As above, this implies easily that if $I_1^m(\sigma)$ does not hold in Z , it cannot hold in YNZ . ■

5. $J = I_2^k(\sigma)$. Since $k \geq 7$, this identity also does not depend on σ , and hence the case is completely analogous to the previous one. ■

(iv) X and Y are 5-split and Z is 5-non-split. We will use the notation introduced in section 3. Since the variety $\mathcal{P}_5(Z)$ is 5-split and the lattice of 5-split var-

ieties is proved to be modular, $(Xv\beta_5(Z))\cap Y = Xv(\beta_5(Z)\cap Y)$. Using (16), we get $X \subseteq (Xv\beta_5(Z))\cap Y \subseteq Xv(Y\cap Z) = X$. Hence $(Xv\beta_5(Z))\cap Y = X$. Thus there exists an identity J which does not hold in Y , but holds in $Xv\beta_5(Z)$. If deducing J from identities of $\beta_5(Z)$, we do not use the identities $x^3yz = 0$ and $x^2y^2z^2 = 0$, then J could be deduced already from identities of Z , and $(XvZ)\cap Y = X$ in contradiction with (16). It is easy to see that every non-trivial (in N) consequence of $x^3yz = 0$ (or of $x^2y^2z^2 = 0$) either is equivalent to it or follows from (14). Thus we may assume that $J \in \{x^3yz = 0, x^2y^2z^2 = 0\}$. Both the possibilities are completely analogous, therefore let us consider only first of them. By (16) $Y\cap Z$ satisfies $x^3yz = 0$. Let W, δ and τ have the same sense as in (i), and $u_0\tau u_1\delta u_2\tau \dots \delta u_{2n}$ where $u_0 \equiv x^3yz$ and $u_{2n} = 0$ in N . If j is the least index such that u_j is not similar to words of W , then j should be odd by Lemma 7 (applied to Z), and $u_{j-1}\tau u_j$. Clearly, $u_j = 0$ in N or $c(u_j) \neq \{x, y, z\}$. In both the cases Y satisfies $u_{j-1} = 0$. However u_{j-1} is similar to a word from W , and hence either $x^3yz = 0$ or $x^2y^2z^2 = 0$ in Y . Since the former case is impossible in view of the choice of J , Y satisfies $x^2y^2z^2 = 0$. This implies that X satisfies both $x^3yz = 0$ and $x^2y^2z^2 = 0$. Then (14) holds in XvZ and $x^3yz = x^2y^2z^2 = 0$ holds in $(XvZ)\cap Y$. We get a contradiction with (16). ■

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