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MODULAR ELEMENTS OF THE LATTICE OF SEMIGROUP VARIETIES. II

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ABSTRACT. We completely determine all semigroup varieties that are both modular and upper-modular elements of the lattice of all semigroup varieties as well as nilsemigroup varieties that are upper-modular elements of this lattice.

INTRODUCTION AND SUMMARY

This note continues the article [10]. An element x of a lattice $\langle L; \vee, \wedge \rangle$ is called *modular* if

$$\forall y, z \in L : y \leq z \longrightarrow (x \vee y) \wedge z = (x \wedge z) \vee y,$$

and *upper-modular* if

$$\forall y, z \in L : y \leq x \longrightarrow (z \vee y) \wedge x = (z \wedge x) \vee y.$$

Lower-modular elements are defined dually to upper-modular ones.

Semigroup varieties that are both modular and lower-modular elements of the lattice of all semigroup varieties were completely described in [10]. Here we consider the dual restriction. Besides that, we classify nilsemigroup varieties that are upper-modular elements of the lattice of all semigroup varieties.

In order to formulate our main results, we need some notation. We adopt the usual agreement of writing $w = 0$ as a short form of the identity system $wu = uw = w$ where u runs over the set of all words. By $\text{var } \Sigma$ we denote the variety of all semigroups satisfying the identity system Σ . Put

$$\mathfrak{S}\mathfrak{L} = \text{var}\{x^2 = x, xy = yx\},$$

$$\mathfrak{C} = \text{var}\{x^2y = 0, xy = yx\}.$$

We will denote the lattice of all semigroup varieties by SEM . The first main result of this paper is the following

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Theorem 1. *A semigroup variety \mathfrak{V} is both a modular and an upper-modular element of the lattice \mathbf{SEM} if and only if either \mathfrak{V} coincides with the class of all semigroups or $\mathfrak{V} \subseteq \mathfrak{SL} \vee \mathfrak{C}$.*

Recall that a semigroup variety is called a *nil-variety* if it satisfies the identity $x^n = 0$ for some n . Our second main result is the following

Theorem 2. *A nil-variety \mathfrak{V} is an upper-modular element of the lattice \mathbf{SEM} if and only if it satisfies the identities $x^2y = xy^2$ and $xy = yx$.*

The note is structured as follows. Section 1 contains all necessary preliminaries. In Section 2 the “only if” parts of both the theorems are proved. In Sections 3 and 4 we verify the “if” parts of respectively Theorems 2 and 1.

1. PRELIMINARIES

We start with some information about special elements of abstract lattices. Recall that an element x of a lattice L is called *neutral* if, for any two elements $y, z \in L$, the sublattice of L generated by x, y and z is distributive. An element a of a lattice L with 0 is called an *atom* of L if a is a minimal non-zero element.

Lemma 1.1. *Let L be a lattice with 0 and let a be a neutral element of L . Then:*

- (i) *if x is a modular element of L then so is $x \vee a$;*
- (ii) *if a is an atom of L and x is an upper-modular element of L then $x \vee a$ is an upper-modular element of L too.*

Proof. Part (i) is proved in [10, Lemma 1.6(ii)]. Let us verify (ii). We have to check that

$$(1) \quad (z \vee y) \wedge (x \vee a) = (z \wedge (x \vee a)) \vee y$$

for every $y \in L$ such that $y \leq x \vee a$ and for an arbitrary $z \in L$. Since $y \leq x \vee a$ and a is neutral, we have

$$(2) \quad y = y \wedge (x \vee a) = (y \wedge x) \vee (y \wedge a).$$

Now consider two cases: $y \not\geq a$ and $y \geq a$.

Case 1: $y \not\leq a$. Since a is an atom, we then have $y \wedge a = 0$, and from (2) we conclude that $y = y \wedge x \leq x$. We have

$$\begin{aligned}
 (z \vee y) \wedge (x \vee a) &= ((z \vee y) \wedge x) \vee ((z \vee y) \wedge a) && \text{because } a \text{ is neutral} \\
 &= ((z \vee y) \wedge x) \vee ((z \wedge a) \vee (y \wedge a)) && \text{because } a \text{ is neutral} \\
 &= ((z \vee y) \wedge x) \vee (z \wedge a) && \text{because } y \wedge a = 0 \\
 &= ((z \wedge x) \vee y) \vee (z \wedge a) && \text{because } y \leq x \text{ and } \\
 &&& x \text{ is upper modular} \\
 &= ((z \wedge x) \vee (z \wedge a)) \vee y \\
 &= (z \wedge (x \vee a)) \vee y && \text{because } a \text{ is neutral.}
 \end{aligned}$$

Thus, the desired equality (1) holds.

Case 2: $y \geq a$. From (2) we then have

$$(3) \quad y = (y \wedge x) \vee a.$$

Therefore,

$$\begin{aligned}
 (z \vee y) \wedge (x \vee a) &= (z \vee ((y \wedge x) \vee a)) \wedge (x \vee a) && \text{by (3)} \\
 &= ((z \vee (y \wedge x)) \vee a) \wedge (x \vee a) \\
 &= ((z \vee (y \wedge x)) \wedge x) \vee a && \text{because } a \text{ is neutral} \\
 &= ((z \wedge x) \vee (y \wedge x)) \vee a && \text{because } y \wedge x \leq x \text{ and } \\
 &&& x \text{ is upper-modular} \\
 &= ((z \wedge x) \vee (y \wedge x)) \vee (a \vee (z \wedge a)) && \text{by the absorption law} \\
 &= ((z \wedge x) \vee (z \wedge a)) \vee ((y \wedge x) \vee a) \\
 &= ((z \wedge x) \vee (z \wedge a)) \vee y && \text{by (3)} \\
 &= (z \wedge (x \vee a)) \vee y && \text{because } a \text{ is neutral.}
 \end{aligned}$$

Thus, the equality (1) holds in this case as well. \square

Lemma 1.2. *Let L be a lattice with 0 , $x \in L$, and let a be an atom and a neutral element of L . Then:*

- (i) *if $x \vee a$ is a modular element of L then so is x ;*
- (ii) *if $x \vee a$ is an upper-modular element of L then so is x .*

Proof. Since a is an atom of L , we have that, for any $z \in L$, $z \not\leq a$ if and only if $z \wedge a = 0$. Because a is a neutral element of L , we have that, for any $b, c \in L$, if $b \wedge a = 0$ and $c \wedge a = 0$ then $(b \vee c) \wedge a = (b \wedge a) \vee (c \wedge a) = 0$. In other words,

$$(4) \quad \forall b, c \in L : b \not\leq a \ \& \ c \not\leq a \longrightarrow b \vee c \not\leq a.$$

Further, it is known that if e is a neutral element of a lattice L and the equalities $f \wedge e = g \wedge e$ and $f \vee e = g \vee e$ hold true for some elements $f, g \in L$ then $f = g$ (see [3, Theorem III.2.4], for instance). Therefore,

$$(5) \quad \forall b, c \in L : b \not\leq a \ \& \ c \not\leq a \ \& \ b \vee a = c \vee a \longrightarrow b = c.$$

Now we are well prepared to prove the claims (i) and (ii).

(i) Let $y, z \in L$ with $y \leq z$. We may assume that $x \not\leq a$ because $x \vee a = x$ in the contrary case. We have to check that

$$(6) \quad (x \vee y) \wedge z = (x \wedge z) \vee y.$$

Now consider two cases: $z \not\leq a$ and $z \geq a$.

Case 1: $z \not\leq a$. We have

$$\begin{aligned} (x \vee y) \wedge z &= ((x \vee y) \wedge z) \vee (a \wedge z) && \text{because } a \wedge z = 0 \\ &= ((x \vee y) \vee a) \wedge z && \text{because } a \text{ is neutral} \\ &= ((x \vee a) \vee y) \wedge z \\ &= ((x \vee a) \wedge z) \vee y && \text{because } x \vee a \text{ is modular} \\ &= ((x \wedge z) \vee (a \wedge z)) \vee y && \text{because } a \text{ is neutral} \\ &= (x \wedge z) \vee y && \text{because } a \wedge z = 0. \end{aligned}$$

We see that (6) holds whenever $z \not\leq a$.

Case 2: $z \geq a$. Then we have

$$\begin{aligned} ((x \vee y) \wedge z) \vee a &= ((x \vee y) \wedge z) \vee (a \wedge z) && \text{because } a \wedge z = a \\ &= ((x \vee y) \vee a) \wedge z && \text{because } a \text{ is neutral} \\ &= ((x \vee a) \vee y) \wedge z \\ &= ((x \vee a) \wedge z) \vee y && \text{because } x \vee a \text{ is modular} \\ &= ((x \wedge z) \vee (a \wedge z)) \vee y && \text{because } a \text{ is neutral} \\ &= ((x \wedge z) \vee a) \vee y && \text{because } a \wedge z = a \\ &= ((x \wedge z) \vee y) \vee a. \end{aligned}$$

We see that

$$(7) \quad ((x \vee y) \wedge z) \vee a = ((x \wedge z) \vee y) \vee a.$$

Suppose at first that $y \geq a$. Since $z \geq a$, we have $(x \vee y) \wedge z \geq a$ and $(x \wedge z) \vee y \geq a$. Therefore, the equality (7) is equivalent to (6) in the case we consider.

Finally, let $y \not\geq a$. Recall that $x \not\leq a$. Applying (4) we have $x \vee y \not\leq a$, whence $(x \vee y) \wedge z \not\leq a$. Furthermore, $x \not\leq a$ implies $x \wedge z \not\leq a$. Applying (4) again we have $(x \wedge z) \vee y \not\leq a$. Now we may apply (5) and (7) concluding that (6) is valid. Thus, the equality (6) holds in any case.

(ii) Let $y, z \in L$ with $y \leq x$. As in the proof of part (i), we may assume that $x \not\leq a$ because $x \vee a = x$ in the contrary case. Clearly, $y \leq x$ implies $y \vee a \leq x \vee a$. We have

$$\begin{aligned}
 ((z \vee y) \wedge x) \vee a &= ((z \vee y) \vee a) \wedge (x \vee a) && \text{because } a \text{ is neutral} \\
 &= (z \vee (y \vee a)) \wedge (x \vee a) \\
 &= (z \wedge (x \vee a)) \vee (y \vee a) && \text{because } x \vee a \text{ is} \\
 & && \text{upper-modular} \\
 &= ((z \wedge x) \vee (z \wedge a)) \vee (y \vee a) && \text{because } a \text{ is neutral} \\
 &= ((z \wedge x) \vee y) \vee ((z \wedge a) \vee a) \\
 &= ((z \wedge x) \vee y) \vee a && \text{by the absorption law.}
 \end{aligned}$$

We see that

$$(8) \quad ((z \vee y) \wedge x) \vee a = ((z \wedge x) \vee y) \vee a.$$

Recall that $x \not\leq a$. This implies $z \wedge x \not\leq a$. Besides that, $y \not\leq a$ because $y \leq x$. By (4) we conclude that $(z \wedge x) \vee y \not\leq a$. Furthermore, $x \not\leq a$ implies $(z \vee y) \wedge x \not\leq a$. Now we may apply (5) and (8) concluding that $(z \vee y) \wedge x = (z \wedge x) \vee y$, that is x is an upper-modular element. \square

Combining Lemmas 1.1 and 1.2, we have

Proposition 1.3. *Let L be a lattice with $0, x \in L$, and let a be an atom and a neutral element of L . Then:*

- (i) x is a modular element of L if and only if so is $x \vee a$;
- (ii) x is an upper-modular element of L if and only if so is $x \vee a$. \square

Now we apply the above results to the lattice of semigroup varieties. The following lemma contains some properties of the variety \mathfrak{SL} that are most important for this paper.

Lemma 1.4. *The variety \mathfrak{SL} is:*

- (i) an atom of the lattice \mathfrak{SEM} ;
- (ii) a neutral element of the lattice \mathfrak{SEM} . \square

The claim (i) of this lemma is well known (see the survey [2], for instance). The statement (ii) is also known. It can be easily deduced from some remarks scattered over [1, 6, 7]; an explicit proof was given in [10, Proposition 2.4].

Lemma 1.4 and Proposition 1.3 immediately imply

Corollary 1.5. *Let \mathfrak{M} be a semigroup variety.*

- (i) *The variety \mathfrak{M} is a modular element of the lattice \mathfrak{SEM} if and only if so is the variety $\mathfrak{M} \vee \mathfrak{SL}$.*
- (ii) *The variety \mathfrak{M} is an upper-modular element of the lattice \mathfrak{SEM} if and only if so is the variety $\mathfrak{M} \vee \mathfrak{SL}$. \square*

2. NECESSITY

Modular elements of the lattice \mathbb{SEM} have been studied by Ježek and McKenzie [5]. One should note that the paper [5] has dealt with the lattice of equational theories of semigroups, that is, the dual of \mathbb{SEM} rather than the lattice \mathbb{SEM} itself. However, the modular elements of the former lattice precisely correspond to the modular elements of \mathbb{SEM} . Indeed, the notion of a modular element is self-dual in the sense that a modular element of a lattice L is also modular in the dual of L (this readily follows from the definition or from [4, Proposition 2.1]). To reproduce a result from [5] concerning modular elements of the lattice \mathbb{SEM} , we need one definition. Following [10], we call a semigroup variety a *Rees variety* if it may be defined by a system of identities of the form $u = 0$. Clearly, every Rees variety is a nil-variety. We start the proof of Theorem 1 with the following result due to Ježek and McKenzie [5, Proposition 1.6] (we “translate” the original result from the language of equational theories to the language of varieties).

Proposition 2.1. *If a semigroup variety \mathfrak{V} is a modular element of the lattice \mathbb{SEM} then either \mathfrak{V} coincides with the class of all semigroups or $\mathfrak{V} \subseteq \mathfrak{SL} \vee \mathfrak{R}$ for some Rees variety \mathfrak{R} . \square*

This proposition easily implies

Corollary 2.2. *If a semigroup variety \mathfrak{V} is a modular element of the lattice \mathbb{SEM} then either \mathfrak{V} coincides with the class of all semigroups or \mathfrak{V} is a nil-variety or $\mathfrak{V} = \mathfrak{SL} \vee \mathfrak{N}$ for some nil-variety \mathfrak{N} .*

Proof. Suppose that \mathfrak{V} differs from the class of all semigroups. By Proposition 2.1 $\mathfrak{V} \subseteq \mathfrak{SL} \vee \mathfrak{R}$ for some Rees variety \mathfrak{R} . Applying Lemma 1.4(ii), we get

$$\mathfrak{V} = \mathfrak{V} \wedge (\mathfrak{SL} \vee \mathfrak{R}) = (\mathfrak{V} \wedge \mathfrak{SL}) \vee (\mathfrak{V} \wedge \mathfrak{R}).$$

Put $\mathfrak{N} = \mathfrak{V} \wedge \mathfrak{R}$. Since the variety \mathfrak{SL} is an atom of the lattice \mathbb{SEM} , the variety $\mathfrak{V} \wedge \mathfrak{SL}$ coincides with either \mathfrak{SL} or the trivial variety. Therefore, either $\mathfrak{V} = \mathfrak{N}$ or $\mathfrak{V} = \mathfrak{SL} \vee \mathfrak{N}$. It remains to note that the variety \mathfrak{N} is a nil-variety because of it is a subvariety of the nil-variety \mathfrak{R} . \square

Let now \mathfrak{V} be simultaneously a modular and an upper-modular element of the lattice \mathbb{SEM} . Of course, we may assume that \mathfrak{V} differs from the class of all semigroups. By Corollaries 2.2 and 1.5, it suffices to verify that if \mathfrak{V} is a nil-variety then $\mathfrak{V} \subseteq \mathfrak{C}$.

Throughout the rest of this section we assume that \mathfrak{V} is a nil-variety.

We denote by F the free semigroup of a countable rank. The symbol \equiv stands for the equality relation on F . If $u \in F$, then $c(u)$ denotes the set of all letters occurring in u , while $\ell(u)$ stands for the length of u . Let $u, v \in F$. We

write $u \triangleleft v$ if $v \equiv a\xi(u)b$ for some endomorphism ξ of F and some $a, b \in F^1$ where F^1 is F with the empty word 1 adjoined. We need the following technical remarks about identities of nil-varieties.

Lemma 2.3. *Let \mathfrak{N} be a nil-variety.*

- (i) *If \mathfrak{N} satisfies an identity $u = v$ with $c(u) \neq c(v)$, then \mathfrak{N} satisfies also the identity $u = 0$.*
- (ii) *If \mathfrak{N} satisfies an identity of the form $u = vuw$ where $v, w \in F^1$ and at least one of the words v and w is non-empty, then it satisfies also the identity $u = 0$.*
- (iii) *If \mathfrak{N} satisfies an identity of the form $x_1x_2 \cdots x_n = u$ with $\ell(u) \neq n$, then it satisfies also the identity $x_1x_2 \cdots x_n = 0$.*
- (iv) *If the variety \mathfrak{N} is commutative and satisfies an identity $u = v$ where $\ell(u) < \ell(v)$ and $u \triangleleft v$, then \mathfrak{N} satisfies also the identity $u = 0$.*

Proof. (i) We may assume that there is a letter $x \in c(v) \setminus c(u)$. Substituting 0 for x in the identity $u = v$, we obtain $u = 0$.

(ii) The identity $u = vuw$ implies $u = vuw = v^2uw^2 = \cdots = v^nuw^n = \cdots$. Since \mathfrak{N} is a nil-variety and at least one of the words v and w is non-empty, there is n with either $v^n = 0$ or $w^n = 0$ in \mathfrak{N} . Therefore, $u = 0$ holds in \mathfrak{N} .

(iii) If $\ell(u) < n$, then $c(u) \neq \{x_1, x_2, \dots, x_n\}$ and the statement (i) applies. If $\ell(u) > n$, then the claim follows from [8, Lemma 1].

(iv) This claim is a partial case of [9, Lemma 1.3(iii)]. □

Recall that a word u is said to be an *isoterm in the variety \mathfrak{M}* if no non-trivial identity of the form $u = v$ holds in \mathfrak{M} . Let \mathfrak{M}_1 and \mathfrak{M}_2 be arbitrary semigroup varieties and suppose that an identity $w_1 = w_2$ holds in the variety $\mathfrak{M}_1 \wedge \mathfrak{M}_2$. In this case there is a sequence of words u_0, u_1, \dots, u_n such that $u_0 \equiv w_1, u_n \equiv w_2$ and, for every $i = 0, 1, \dots, n-1$, the identity $u_i = u_{i+1}$ holds in either \mathfrak{M}_1 or \mathfrak{M}_2 . An arbitrary sequence of words with such properties will be called an $(\mathfrak{M}_1, \mathfrak{M}_2)$ -*deduction* of the identity $w_1 = w_2$.

Proposition 2.4. *If \mathfrak{V} is a nil-variety and \mathfrak{V} is an upper-modular element of the lattice SEM then \mathfrak{V} is commutative.*

Proof. Suppose that the commutative law fails in \mathfrak{V} and denote by \mathfrak{X} the subvariety of \mathfrak{V} defined within \mathfrak{V} by the identity $xy = yx$. Further, let \mathfrak{G} be an arbitrary non-abelian periodic group variety. Clearly, $\mathfrak{G} \wedge \mathfrak{V}$ is the trivial variety, and therefore $(\mathfrak{G} \wedge \mathfrak{V}) \vee \mathfrak{X} = \mathfrak{X}$. Since \mathfrak{V} is an upper-modular element of SEM, this means that $(\mathfrak{G} \vee \mathfrak{X}) \wedge \mathfrak{V} = \mathfrak{X}$. The variety \mathfrak{X} satisfies the commutative law. Therefore, there is a $(\mathfrak{G} \vee \mathfrak{X}, \mathfrak{V})$ -deduction of the identity $xy = yx$. In particular, there is a word u with $u \neq xy$ and the identity $xy = u$ holds in either $\mathfrak{G} \vee \mathfrak{X}$ or \mathfrak{V} . Suppose that $xy = u$ holds in \mathfrak{V} . If $u \neq yx$ then

either $c(u) \neq \{x, y\}$ or $\ell(u) \neq 2$. By the claims (i) and (iii) of Lemma 2.3 either $u \equiv yx$ or $xy = 0$ holds in \mathfrak{V} . Since the variety \mathfrak{V} is non-commutative, both the cases are impossible. Therefore, the word xy is an isotermin in \mathfrak{V} . Whence the identity $xy = u$ is satisfied by the variety $\mathfrak{G} \vee \mathfrak{X}$. In particular, $xy = u$ holds in the nil-variety \mathfrak{X} . Using the same arguments as above, we have that either $u \equiv yx$ or $xy = 0$ holds in \mathfrak{X} . But the latter is not the case, and we have proved that the variety $\mathfrak{G} \vee \mathfrak{X}$ satisfies the commutative law. In particular, $xy = yx$ holds in the variety \mathfrak{G} , contradicting the choice of this variety. \square

Put $W = \{x^2y, xyx, yx^2, y^2x, yxy, xy^2\}$.

Lemma 2.5. *If a commutative nil-variety \mathfrak{M} satisfies an identity of the form $u = v$ where $u \in W$ then either $v \in W$ or \mathfrak{M} satisfies the identity $u = 0$.*

Proof. If $c(v) \neq \{x, y\}$ then $u = 0$ in \mathfrak{M} by Lemma 2.3(i). Let now $c(v) = \{x, y\}$. Let k (respectively, ℓ) be the number of occurrences of the letter x (respectively, y) in v . Since the variety \mathfrak{M} is commutative, it satisfies $v = x^k y^\ell$ and either $u = x^2y$ or $u = xy^2$. Suppose that $v \notin W$. Then either $k \geq 3$ or $\ell \geq 3$ or $k = \ell = 2$ or $k = \ell = 1$. Applying then Lemma 2.3(iv) we conclude that \mathfrak{M} satisfies the identity $u = 0$. \square

Proposition 2.6. *If \mathfrak{V} is a nil-variety and \mathfrak{V} is an upper-modular element of the lattice SEM then \mathfrak{V} satisfies the identity $x^2y = xy^2$.*

Proof. Suppose that the identity $x^2y = xy^2$ is false in \mathfrak{V} and denote by \mathfrak{X} the subvariety of \mathfrak{V} given within \mathfrak{V} by this identity. Further, let \mathfrak{G} be an arbitrary non-trivial periodic group variety. Clearly, $\mathfrak{G} \wedge \mathfrak{V}$ is the trivial variety, and therefore $(\mathfrak{G} \wedge \mathfrak{V}) \vee \mathfrak{X} = \mathfrak{X}$. Since \mathfrak{V} is an upper-modular element of SEM, this means that $(\mathfrak{G} \vee \mathfrak{X}) \wedge \mathfrak{V} = \mathfrak{X}$. The variety \mathfrak{X} satisfies the identity $x^2y = xy^2$. Therefore, there is a $(\mathfrak{G} \vee \mathfrak{X}, \mathfrak{V})$ -deduction of this identity. Let

$$x^2y \equiv u_0, u_1, \dots, u_n \equiv xy^2$$

be an arbitrary such deduction. Put $W_1 = \{x^2y, xyx, yx^2\}$ and $W_2 = \{y^2x, yxy, xy^2\}$. Since $u_0 \in W_1$ and $u_n \notin W_1$, there is an index $i > 0$ such that $u_{i-1} \in W_1$ while $u_i \notin W_1$. The identity $u_{i-1} = u_i$ holds in one of the varieties $\mathfrak{G} \vee \mathfrak{X}$ and \mathfrak{V} . Suppose that $u_{i-1} = u_i$ in \mathfrak{V} . The variety \mathfrak{V} is commutative by Proposition 2.4. Therefore, it satisfies all identities of the type $w_1 = x^2y$ with $w_1 \in W_1$ and $w_2 = xy^2$ with $w_2 \in W_2$. So, if $u_i \in W_2$ then $x^2y = yx^2$ in \mathfrak{V} . Furthermore, if $u_i \notin W_2$ then $u_i \notin W$. Now Lemma 2.5 applies and we conclude that \mathfrak{V} satisfies the identity $x^2y = 0$. Therefore, $xy^2 = 0$ and $x^2y = xy^2$ in \mathfrak{V} . We prove that if $u_{i-1} = u_i$ holds in \mathfrak{V} then \mathfrak{V} satisfies the identity $x^2y = xy^2$. But this is not the case. Therefore, $u_{i-1} = u_i$ holds in $\mathfrak{G} \vee \mathfrak{X}$. In particular, $u_{i-1} = u_i$ in \mathfrak{X} . If $u_i \notin W_2$ then $u_i \notin W$, whence $x^2y = u_{i-1} = 0$ in \mathfrak{X} by Lemma 2.5. But it is not the case. Therefore,

$u_i \in W_2$. This means that the variety $\mathfrak{G} \vee \mathfrak{X}$ satisfies the identity $u_{i-1} = u_i$ where $u_{i-1} \in W_1$ and $u_i \in W_2$. In particular, this identity holds true in the variety \mathfrak{G} . Recall that \mathfrak{G} is a non-trivial group variety. Substituting 1 for y in the identity $u_{i-1} = u_i$, we obtain that $x^2 = x$ in \mathfrak{G} . Therefore, \mathfrak{G} is the trivial variety, a contradiction. \square

Propositions 2.4 and 2.6 imply necessity in Theorem 2.

We need the following easy observation.

Lemma 2.7. *Let \mathfrak{M} be a nil-variety satisfying the identities $x^2y = xy^2$ and $xy = yx$. Then \mathfrak{M} satisfies also the identity*

$$(9) \quad x^2yz = 0.$$

Proof. Substituting yz for y in $x^2y = xy^2$, we obtain that \mathfrak{M} satisfies the identities $x^2yz = x(yz)^2 = xy^2z^2 = x^2yz^2$. Now Lemma 2.3(ii) applies. \square

In [4], Ježek describes modular elements of the lattice of all varieties (more exactly, all equational theories) of any given type. In particular, [4, Lemma 6.3] implies that if a nil-variety \mathfrak{V} satisfies the identity $x^2y = xy^2$ and \mathfrak{V} is a modular element of the lattice of all groupoid varieties then $x^2y = 0$ holds in \mathfrak{V} . This does not imply directly the same conclusion for nil-varieties that are modular elements of SEM since a modular element of SEM need not be a modular element of the lattice of all groupoid varieties. Nevertheless, the following “semigroup analogue” of the mentioned result by Ježek is true.

Proposition 2.8. *If a nil-variety \mathfrak{V} is a modular element of the lattice SEM and satisfies the identities $x^2y = xy^2$ and $xy = yx$ then $x^2y = 0$ holds in \mathfrak{V} .*

Proof. By Lemma 2.7 \mathfrak{V} satisfies the identity (9). Put $\mathfrak{X} = \text{var}\{x^2y = (x^2y)^2\}$ and $\mathfrak{Y} = \text{var}\{x^2y = (x^2y)^2, xy^2 = (xy^2)^2\}$. Clearly, $\mathfrak{Y} \subseteq \mathfrak{X}$. The variety $\mathfrak{V} \wedge \mathfrak{X}$ satisfies the identities $xy^2 = x^2y = (x^2y)^2$. Together with (9) this implies $xy^2 = 0$. In particular, $xy^2 = (xy^2)^2$ in $\mathfrak{V} \wedge \mathfrak{X}$, that is $\mathfrak{V} \wedge \mathfrak{X} \subseteq \mathfrak{Y}$. Thus, $(\mathfrak{V} \wedge \mathfrak{X}) \vee \mathfrak{Y} = \mathfrak{Y}$. Since \mathfrak{V} is a modular element of the lattice SEM, $(\mathfrak{V} \vee \mathfrak{Y}) \wedge \mathfrak{X} = \mathfrak{Y}$. In particular, $xy^2 = (xy^2)^2$ holds in $(\mathfrak{V} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. Then there exists a $(\mathfrak{V} \vee \mathfrak{Y}, \mathfrak{X})$ -deduction of the identity $xy^2 = (xy^2)^2$. This means that there is a word u such that $u \neq xy^2$ and $xy^2 = u$ holds in either $\mathfrak{V} \vee \mathfrak{Y}$ or \mathfrak{X} . Clearly, the word xy^2 is an isoterm in the variety \mathfrak{X} . Therefore, $xy^2 = u$ holds in $\mathfrak{V} \vee \mathfrak{Y}$ and, in particular, in \mathfrak{V} . Applying Lemma 2.5 we conclude that either $u \in W$ or $xy^2 = 0$ in \mathfrak{V} . In the latter case $x^2y = 0$ in \mathfrak{V} because \mathfrak{V} is commutative. Let now $u \in W$. The identity $xy^2 = u$ holds in $\mathfrak{V} \vee \mathfrak{Y}$, and moreover in \mathfrak{Y} . But it is clear that all non-trivial identities of the type $xy^2 = u$ with $u \in W$ are false in \mathfrak{Y} . \square

Of course, our proof of Proposition 2.8 (namely, the choice of the varieties \mathfrak{X} and \mathfrak{Y} in this proof) is inspired by the proof of [4, Lemma 6.3].

Propositions 2.4, 2.6 and 2.8 imply together that $\mathfrak{V} \subseteq \mathfrak{C}$. The necessity in Theorem 1 is proved.

3. SUFFICIENCY IN THEOREM 2

By Lemma 2.7, if a nil-variety satisfies the identities $x^2y = xy^2$ and $xy = yx$ then it satisfies the identity (9) too. Put

$$\mathfrak{A} = \text{var}\{x^2yz = 0, x^2y = xy^2, xy = yx\}.$$

In this section we have to verify that any subvariety of \mathfrak{A} is an upper-modular element of the lattice SEM.

Put $U = \{x^2, x^3, x^2y, x_1x_2 \cdots x_n \mid n \in \mathbb{N}\}$ where \mathbb{N} stands for the set of all natural numbers. It is evident that any subvariety of \mathfrak{A} may be given in \mathfrak{A} only by identities of the type $u = v$ or $u = 0$ where $u, v \in U$. The claims (i)–(iii) of Lemma 2.3 imply that if $u, v \in U$ and $u \neq v$ then $u = v$ implies in \mathfrak{A} the identity $u = 0$. Now it is very easy to check that the subvariety lattice of the variety \mathfrak{A} has the form shown on Fig. 1, where

$$\mathfrak{A}_n = \text{var}\{x^2yz = x_1x_2 \cdots x_n = 0, x^2y = xy^2, xy = yx\} \quad (n \geq 4),$$

$$\mathfrak{B} = \text{var}\{x^2yz = x^3 = 0, x^2y = xy^2, xy = yx\},$$

$$\mathfrak{B}_n = \text{var}\{x^2yz = x^3 = x_1x_2 \cdots x_n = 0, x^2y = xy^2, xy = yx\} \quad (n \geq 4),$$

$$\mathfrak{C}_n = \text{var}\{x^2y = x_1x_2 \cdots x_n = 0, xy = yx\} \quad (n \geq 3),$$

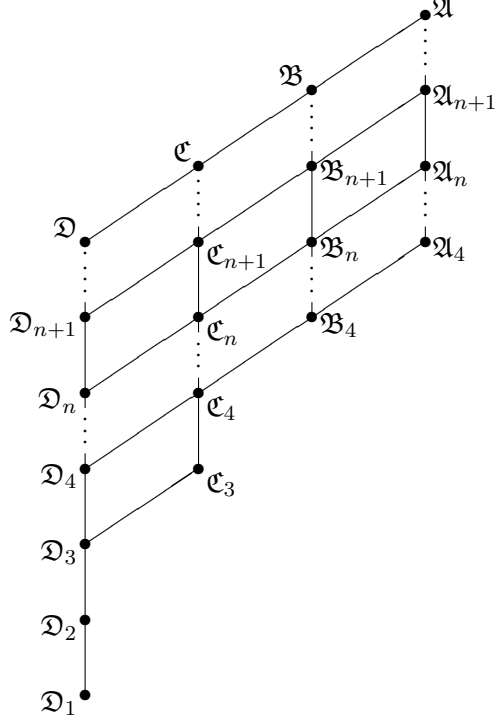
$$\mathfrak{D} = \text{var}\{x^2 = 0, xy = yx\},$$

$$\mathfrak{D}_n = \text{var}\{x^2 = x_1x_2 \cdots x_n = 0, xy = yx\} \quad (n \in \mathbb{N}).$$

Let $\mathfrak{X} \subseteq \mathfrak{A}$. We have to check that if $\mathfrak{Y} \subseteq \mathfrak{X}$ and \mathfrak{Z} is an arbitrary semigroup variety then $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X} = (\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}$.

We need some definitions and notation. Recall that a semigroup S is called *nilpotent* if it satisfies an identity of the form $x_1x_2 \cdots x_k = 0$. If k is the least number with such a property then S is said to be *nilpotent of index k* . A semigroup variety \mathfrak{M} is called a *variety of a finite index* if there is a natural k such that every nilsemigroup from \mathfrak{M} is nilpotent of index $\leq k$; the least k with this property is called the *index* of \mathfrak{M} . If \mathfrak{M} is a variety of a finite index, we denote its index by $\text{ind}(\mathfrak{M})$; otherwise we write $\text{ind}(\mathfrak{M}) = \infty$. Let \mathfrak{M}_1 and \mathfrak{M}_2 be arbitrary semigroup varieties. It is clear that

$$(10) \quad \begin{cases} \text{ind}(\mathfrak{M}_1 \vee \mathfrak{M}_2) = \max\{\text{ind}(\mathfrak{M}_1), \text{ind}(\mathfrak{M}_2)\}, \\ \text{ind}(\mathfrak{M}_1 \wedge \mathfrak{M}_2) = \min\{\text{ind}(\mathfrak{M}_1), \text{ind}(\mathfrak{M}_2)\} \end{cases}$$


 FIGURE 1. The subvariety lattice of the variety \mathfrak{A}

(we assume here that $k \leq \infty$ for any $k \in \mathbb{N} \cup \{\infty\}$). For a variety $\mathfrak{M} \subseteq \mathfrak{A}$, we define by $\overline{\mathfrak{M}}$ the least of the varieties \mathfrak{A} , \mathfrak{B} , \mathfrak{C} and \mathfrak{D} that contains \mathfrak{M} . Fig. 1 shows that if $\mathfrak{M}_1, \mathfrak{M}_2 \subseteq \mathfrak{A}$ then

$$\mathfrak{M}_1 = \mathfrak{M}_2 \iff \text{ind}(\mathfrak{M}_1) = \text{ind}(\mathfrak{M}_2) \text{ and } \overline{\mathfrak{M}_1} = \overline{\mathfrak{M}_2}.$$

Therefore, we have to verify the following two equalities:

$$(11) \quad \text{ind}((\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}) = \text{ind}((\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}),$$

$$(12) \quad \overline{(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}} = \overline{(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}}.$$

Put $\text{ind}(\mathfrak{X}) = k$, $\text{ind}(\mathfrak{Y}) = \ell$ and $\text{ind}(\mathfrak{Z}) = m$. According to (10), we have

$$\text{ind}((\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}) = \min\{\max\{m, \ell\}, k\},$$

$$\text{ind}((\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}) = \max\{\min\{m, k\}, \ell\}.$$

Clearly, $\ell \leq k$ because $\mathfrak{Y} \subseteq \mathfrak{X}$. It is then evident that $\min\{\max\{m, \ell\}, k\} = \max\{\min\{m, k\}, \ell\}$. The equality (11) is proved.

It remains to verify the equality (12). Clearly, it is equivalent to the following claim: if u is one of the words x^3 , x^2y and x^2 then the variety $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$

satisfies the identity $u = 0$ if and only if the variety $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}$ does so. Obviously, $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y} \subseteq (\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. Thus, we have to check that $u = 0$ holds in $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$ whenever it is so in $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}$. Further considerations are naturally divided into two cases.

Case 1: u is one of the words x^2 and x^3 . We prove that if the variety $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}$ satisfies an identity of the form $x^n = 0$ for some n then $x^n = 0$ holds in the variety $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$ as well. (This is evident whenever $n > 3$ because $x^4 = 0$ in \mathfrak{A} , and moreover in \mathfrak{X} . But the proof we give below does not depend on n .) Suppose that $x^n = 0$ in $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}$. This means that $x^n = 0$ in \mathfrak{Y} and there is a $(\mathfrak{Z}, \mathfrak{X})$ -deduction of the identity $x^n = 0$. In particular, there is a word v such that $v \neq x^n$ and $x^n = v$ holds in either \mathfrak{Z} or \mathfrak{X} . Suppose that $x^n = v$ in \mathfrak{X} . Since \mathfrak{X} is a nil-variety, the claims (i) and (ii) of Lemma 2.3 imply that $x^n = 0$ in \mathfrak{X} , and moreover in $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. Let now $x^n = v$ in \mathfrak{Z} . If $c(v) = \{x\}$ then $x^n = v$ is an identity of the form

$$(13) \quad x^n = x^m$$

where $n \neq m$. Suppose that $c(v) \neq \{x\}$. If $\ell(v) \neq n$ then substituting x for each letter from $c(v) \setminus \{x\}$ in the identity $x^n = v$, we deduce from this identity an identity of the form (13) with $n \neq m$. Finally, if $\ell(v) = n$ then we obtain an identity of the same form by substitution x^2 for any letter from $c(v) \setminus \{x\}$ in $x^n = v$. Thus, in any case the variety \mathfrak{Z} satisfies an identity of the form (13) with $n \neq m$. If $m < n$ then multiplying both the sides of this identity by x^{n-m} we obtain the identity $x^{2n-m} = x^n$. Clearly, $2n - m > n$. Thus, we may assume that \mathfrak{Z} satisfies an identity of the form (13) for some $m > n$. Since $x^n = 0$ in \mathfrak{Y} , the variety $\mathfrak{Z} \vee \mathfrak{Y}$ also satisfies (13) for some $m > n$. Applying Lemma 2.3(ii) we have that any nil-subvariety of $\mathfrak{Z} \vee \mathfrak{Y}$ satisfies $x^n = 0$. In particular, it is so for the variety $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$.

Case 2: $u \equiv x^2y$. Now we have to check that if the variety $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}$ satisfies the identity $x^2y = 0$ then the variety $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$ does so. We may assume that $\mathfrak{Z} \not\subseteq \mathfrak{X}$ because $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y} = \mathfrak{X} = (\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$ otherwise. In particular, $\mathfrak{Z} \not\subseteq \text{var}\{xy = yx\}$. As well known, this means that \mathfrak{Z} consists of periodic semigroups or, equivalently, satisfies an identity of the form (13) with $n < m$ (see, e.g., [2]). Since \mathfrak{Y} is a nil-variety, an identity of this form holds in the variety $\mathfrak{Z} \vee \mathfrak{Y}$. By Lemma 2.3(ii) this implies that any nil-subvariety of $\mathfrak{Z} \vee \mathfrak{Y}$ satisfies the identity $x^n = 0$. Hence there exists the (unique) greatest nil-subvariety of the variety $\mathfrak{Z} \vee \mathfrak{Y}$. We denote this subvariety by $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y})$. It is evident that $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X} \subseteq (\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. On the other hand, any semigroup from $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$ is a nilsemigroup (because \mathfrak{X} is a nil-variety) and this nilsemigroup lies both in $\mathfrak{Z} \vee \mathfrak{Y}$ and \mathfrak{X} . Therefore, $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X} \subseteq \text{Nil}(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. We see that

$$(14) \quad (\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X} = \text{Nil}(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}.$$

As in Section 2 put

$$W = \{x^2y, xyx, yx^2, y^2x, yxy, xy^2\}.$$

The variety $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$ is commutative. Therefore, it suffices to verify that this variety satisfies an identity $w = 0$ for some word $w \in W$. By the hypothesis the variety $(\mathfrak{Z} \wedge \mathfrak{X}) \vee \mathfrak{Y}$ satisfies the identity $x^2y = 0$. This means that $x^2y = 0$ in \mathfrak{Y} and there is a $(\mathfrak{Z}, \mathfrak{X})$ -deduction of the identity $x^2y = 0$. Let $x^2y \equiv u_0, u_1, \dots, u_n, 0$ be an arbitrary such deduction. Suppose that $u_n \in W$. The identity $u_n = 0$ holds in one of the varieties \mathfrak{Z} and \mathfrak{X} . Suppose that $u_n = 0$ in \mathfrak{Z} . Because $u_n \in W$ and the variety \mathfrak{Y} is commutative and satisfies the identity $x^2y = 0$, we have $u_n = 0$ in \mathfrak{Y} . Therefore, $u_n = 0$ in $\mathfrak{Z} \vee \mathfrak{Y}$, and moreover in $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. Obviously, the same is the case whenever $u_n = 0$ in \mathfrak{X} . Since $u_n \in W$, we are done.

Let now $u_n \notin W$. Since $u_0 \in W$, then there is an index $i > 0$ such that $u_i \notin W$ while $u_{i-1} \in W$. The identity $u_{i-1} = u_i$ holds in one of the varieties \mathfrak{Z} and \mathfrak{X} . If $u_{i-1} = u_i$ in \mathfrak{X} then Lemma 2.5 applies and we conclude that $u_{i-1} = 0$ in \mathfrak{X} , and moreover in $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. Since $u_{i-1} \in W$, we are done.

Finally, suppose that $u_{i-1} = u_i$ in \mathfrak{Z} . Note that $u_{i-1} = 0$ in \mathfrak{Y} because $x^2y = 0$ in \mathfrak{Y} , the variety \mathfrak{Y} is commutative and $u_{i-1} \in W$. We are going to check that $u_{i-1} = 0$ in $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. This suffices for our aims because $u_{i-1} \in W$. Suppose at first that there is a letter $z \in c(u_i) \setminus \{x, y\}$. Substitute u_{i-1}^2 for z in the identity $u_{i-1} = u_i$. We obtain an identity of the type $u_{i-1} = w_1 u_{i-1}^2 w_2$ for some (maybe empty) words w_1 and w_2 . This identity holds in $\mathfrak{Z} \vee \mathfrak{Y}$ because $u_{i-1} = u_i$ in \mathfrak{Z} and $u_{i-1} = 0$ in \mathfrak{Y} . By Lemma 2.3(ii) $u_{i-1} = 0$ holds in $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y})$, and moreover in $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. We are done by (14).

Let now $c(u_i) \subseteq \{x, y\}$. Recall that a word u is called *linear* if every letter occurs in u at most one time. We write $u \approx v$ if the word v may be obtained from the word u by renaming of letters. Suppose that the identity $u_i = 0$ is false in the variety \mathfrak{Y} . Because $x^2y = 0$ in \mathfrak{Y} , this means that either u_i is linear or $u_i \approx x^2$. Since $c(u_i) \subseteq \{x, y\}$, we have $u_i \in \{x, y, xy, yx, x^2, y^2\}$. Substitute x for y in the identity $u_{i-1} = u_i$. We obtain either $x^3 = x$ or $x^3 = x^2$. Each of these two identities implies $x^4 = x^2$. Thus, $x^4 = x^2$ in \mathfrak{Z} , and therefore $x^4y = x^2y$ in $\mathfrak{Z} \vee \mathfrak{Y}$. By Lemma 2.3(ii), we have $x^2y = 0$ in $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y})$, and moreover in $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. According to (14) $x^2y = 0$ in $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$.

Finally, let $u_i = 0$ in \mathfrak{Y} . Then we have $u_{i-1} = u_i$ in \mathfrak{Y} , and therefore in $\mathfrak{Z} \vee \mathfrak{Y}$. Recall that $c(u_i) \subseteq \{x, y\}$. If $c(u_i) \subset \{x, y\}$ then Lemma 2.3(i) applies and we conclude that $u_{i-1} = 0$ in $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y})$, and moreover in $\text{Nil}(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$. Now the equality (14) applies. It remains to consider the case $c(u_i) = \{x, y\}$. Let k (respectively, ℓ) denote the number of occurrences of the letter x (respectively, y) in the word u_i . Since the variety \mathfrak{X} satisfies the commutative law, $u_i = x^k y^\ell$ in \mathfrak{X} . If $k = \ell = 1$ then $u_i \in \{xy, yx\}$ and we may repeat literally the arguments from the previous paragraph. Furthermore, if either $k = 2$,

$\ell = 1$ or $k = 1$, $\ell = 2$ then $u_i \in W$ that contradicts the choice of the word u_i . Therefore, we may assume that either $k \geq 3$ or $\ell \geq 3$ or $k = \ell = 2$. The variety \mathfrak{X} satisfies the identities $x^3y = 0$ and $x^2y^2 = 0$ because $\mathfrak{X} \subseteq \mathfrak{A}$. Hence $u_i = 0$ in \mathfrak{X} . Taking into account that $u_{i-1} = u_i$ in $\mathfrak{Z} \vee \mathfrak{Y}$, we obtain that the consequence $u_{i-1}, u_i, 0$ is a $(\mathfrak{Z} \vee \mathfrak{Y}, \mathfrak{X})$ -deduction of the identity $u_{i-1} = 0$, whence this identity holds in $(\mathfrak{Z} \vee \mathfrak{Y}) \wedge \mathfrak{X}$.

The equality (12) is proved. Thus, we have completed the proof of sufficiency in Theorem 2. \square

4. SUFFICIENCY IN THEOREM 1

In this section we complete the proof of Theorem 1. It is evident that the variety of all semigroups is both a modular and an upper-modular element of the lattice \mathbf{SEM} . Let now \mathfrak{X} be a semigroup variety with $\mathfrak{X} \subseteq \mathfrak{SL} \vee \mathfrak{C}$. Repeating literally the proof of Corollary 2.2, we conclude that either $\mathfrak{X} = \mathfrak{X}'$ or $\mathfrak{X} = \mathfrak{SL} \vee \mathfrak{X}'$ for some variety $\mathfrak{X}' \subseteq \mathfrak{C}$. According to Corollary 1.5 it suffices to verify that each subvariety of the variety \mathfrak{C} is both a modular and an upper-modular element of the lattice \mathbf{SEM} . The ‘‘upper-modular half’’ of this claim immediately follows from Theorem 2. Thus, it remains to verify that any subvariety of the variety \mathfrak{C} is a modular element of the lattice \mathbf{SEM} .

Let $\mathfrak{X} \subseteq \mathfrak{C}$. In other words, \mathfrak{X} is one of the varieties \mathfrak{C} , \mathfrak{C}_n , \mathfrak{D} and \mathfrak{D}_n (see Fig. 1). We have to check that if \mathfrak{Y} and \mathfrak{Z} are arbitrary semigroup varieties with $\mathfrak{Y} \subseteq \mathfrak{Z}$ then $(\mathfrak{X} \vee \mathfrak{Y}) \wedge \mathfrak{Z} = (\mathfrak{X} \wedge \mathfrak{Z}) \vee \mathfrak{Y}$. It suffices to verify that $(\mathfrak{X} \vee \mathfrak{Y}) \wedge \mathfrak{Z} \subseteq (\mathfrak{X} \wedge \mathfrak{Z}) \vee \mathfrak{Y}$ since the opposite inclusion is evident. In other words, we have to prove that an identity $u = v$ holds in $(\mathfrak{X} \vee \mathfrak{Y}) \wedge \mathfrak{Z}$ whenever it does so in $(\mathfrak{X} \wedge \mathfrak{Z}) \vee \mathfrak{Y}$. Let $u = v$ be an identity that holds in $(\mathfrak{X} \wedge \mathfrak{Z}) \vee \mathfrak{Y}$. Then $u = v$ in \mathfrak{Y} and there is an $(\mathfrak{X}, \mathfrak{Z})$ -deduction of $u = v$. Let

$$(15) \quad u \equiv w_0, w_1, \dots, w_n \equiv v$$

be the shortest $(\mathfrak{X}, \mathfrak{Z})$ -deduction of the identity $u = v$. In particular, this means that there are no $i \in \{0, 1, \dots, n-2\}$ with $w_i = w_{i+1} = w_{i+2}$ in one of the varieties \mathfrak{X} and \mathfrak{Z} . Besides that, if $n > 1$ then there are no $i \in \{0, 1, \dots, n-1\}$ such that $w_i = w_{i+1}$ in both the varieties \mathfrak{X} and \mathfrak{Z} .

The case $n = 1$ is fairly simple. Indeed, if $n = 1$ then the identity $u = v$ holds in one of the varieties \mathfrak{X} and \mathfrak{Z} . Since this identity holds in \mathfrak{Y} , we have that $u = v$ in one of the varieties $\mathfrak{X} \vee \mathfrak{Y}$ and \mathfrak{Z} , and moreover in $(\mathfrak{X} \vee \mathfrak{Y}) \wedge \mathfrak{Z}$.

Suppose now that $n = 2$. By symmetry we may assume that $u = w_1$ in \mathfrak{X} and $w_1 = v$ in \mathfrak{Z} . Then $w_1 = v = u$ in \mathfrak{Y} . We see that $u = w_1$ in $\mathfrak{X} \vee \mathfrak{Y}$ and $w_1 = v$ in \mathfrak{Z} , so $u = v$ in $(\mathfrak{X} \vee \mathfrak{Y}) \wedge \mathfrak{Z}$.

Throughout the rest of this section we assume that $n \geq 3$.

First of all, let us consider some very special but important partial case. As we shall see below, all other cases reduce to this one.

Lemma 4.1. *If $n = 3$, $w_0 = w_1$ in \mathfrak{Z} , $w_1 = w_2$ in \mathfrak{X} and $w_2 = w_3$ in \mathfrak{Z} then the identity $u = v$ holds in the variety $(\mathfrak{X} \vee \mathfrak{Y}) \wedge \mathfrak{Z}$.*

Proof. Recall that the identity $u = v$ holds in \mathfrak{Y} , $u \equiv w_0$ and $v \equiv w_n$. Since $\mathfrak{Y} \subseteq \mathfrak{Z}$, we have $w_1 = w_0 = w_3 = w_2$ in \mathfrak{Y} . Therefore, the identity $w_1 = w_2$ holds in the variety $\mathfrak{X} \vee \mathfrak{Y}$. Since the identities $w_0 = w_1$ and $w_2 = w_3$ hold true in \mathfrak{Z} , we are done. \square

Clearly, if u is a non-linear word and $u \not\approx x^2$ then the identity $u = 0$ holds in \mathfrak{X} . Put

$$\begin{aligned} Z &= \{u \in F \mid u = 0 \text{ holds in } \mathfrak{X}\} = \\ &= \begin{cases} \{u \in F \mid u \text{ is non-linear and } u \not\approx x^2\} & \text{if } \mathfrak{X} = \mathfrak{C}, \\ \{u \in F \mid \text{either } u \text{ is non-linear and } u \not\approx x^2 \\ \text{or } u \text{ is linear and } \ell(u) \geq n\} & \text{if } \mathfrak{X} = \mathfrak{C}_n, \\ \{u \in F \mid u \text{ is non-linear}\} & \text{if } \mathfrak{X} = \mathfrak{D}, \\ \{u \in F \mid \text{either } u \text{ is non-linear} \\ \text{or } u \text{ is linear and } \ell(u) \geq n\} & \text{if } \mathfrak{X} = \mathfrak{D}_n; \end{cases} \\ L &= \{u \in F \mid u \text{ is linear and } u \notin Z\} = \\ &= \begin{cases} \{u \in F \mid u \text{ is linear}\} & \text{if } \mathfrak{X} = \mathfrak{C} \text{ or } \mathfrak{X} = \mathfrak{D}, \\ \{u \in F \mid u \text{ is linear and } \ell(u) < n\} & \text{if } \mathfrak{X} = \mathfrak{C}_n \text{ or } \mathfrak{X} = \mathfrak{D}_n; \end{cases} \\ S &= \{u \in F \mid u \notin Z \cup L\} = \begin{cases} \{u \in F \mid u \approx x^2\} & \text{if } \mathfrak{X} = \mathfrak{C} \text{ or } \mathfrak{X} = \mathfrak{C}_n, \\ \emptyset & \text{if } \mathfrak{X} = \mathfrak{D} \text{ or } \mathfrak{X} = \mathfrak{D}_n. \end{cases} \end{aligned}$$

Clearly, every word belongs to exactly one of the sets Z , L and S . In particular, it is so for each of the words w_0, w_1, \dots, w_n . It is evident that if $w', w'' \in Z$ then $w' = w''$ in \mathfrak{X} . In the sequel we use this claim without any references.

Now we verify several properties of the sequence (15).

Lemma 4.2. *If $w_i, w_j \in Z$ for some $0 \leq i < j \leq n$ then $j = i + 1$. In particular, the sequence (15) contains at most two words from the set Z .*

Proof. Suppose that $w_i, w_j \in Z$ for some $0 \leq i, j \leq n$ and $j > i + 1$. Then $w_i = w_j$ in \mathfrak{X} , whence $w_0, w_1, \dots, w_i, w_j, \dots, w_n$ is an $(\mathfrak{X}, \mathfrak{Z})$ -deduction of the identity $u = v$ that is shorter than (15). \square

Lemma 4.3. *If $w_i \in S$ for some $0 \leq i \leq n$ then:*

- (i) *either $i = 0$ or $i = n$;*
- (ii) *if $w_0 \in S$ (respectively, $w_n \in S$) then the identity $w_0 = w_1$ (respectively, $w_{n-1} = w_n$) holds in the variety \mathfrak{Z} .*

Proof. Let $w_i \in S$, that is $w_i \approx x^2$. Suppose that $i > 0$ and the identity $w_{i-1} = w_i$ holds in \mathfrak{X} . The claims (i) and (ii) of Lemma 2.3 then imply that $x^2 = 0$ in \mathfrak{X} . Thus, $w_i = 0$ in \mathfrak{X} that contradicts the claim $w_i \in S$. Therefore, if $i > 0$ then the identity $w_{i-1} = w_i$ holds in \mathfrak{Z} . In particular, if $w_n \in S$ then $w_{n-1} = w_n$ in \mathfrak{Z} . Analogous arguments show that if $i < n$ (in particular, if $i = 0$) then the identity $w_i = w_{i+1}$ holds in \mathfrak{Z} . The part (ii) is proved. Furthermore, if $0 < i < n$ then $w_{i-1} = w_i = w_{i+1}$ in \mathfrak{Z} . But this is impossible. The part (i) is proved as well. \square

Lemma 4.4. *If $w_i \in L$ for some $0 < i < n$ then either $w_{i-1} \in L$ or $w_{i+1} \in L$.*

Proof. Arguing by contradiction, suppose that $w_{i-1}, w_{i+1} \in Z \cup S$. If $w_{i-1} \in S$ then $w_{i-1} = w_i$ holds in \mathfrak{Z} by Lemma 4.3. Let now $w_{i-1} \in Z$. Then $w_{i-1} = 0$ holds in \mathfrak{X} while the identity $w_i = 0$ is false in \mathfrak{X} . Therefore, the identity $w_{i-1} = w_i$ is false in \mathfrak{X} too. This means that $w_{i-1} = w_i$ holds in \mathfrak{Z} as well. Analogously, the identity $w_i = w_{i+1}$ holds in \mathfrak{Z} , that is $w_{i-1} = w_i = w_{i+1}$ in \mathfrak{Z} . But this is impossible. \square

Lemma 4.5. *Let $w_i, w_{i+1} \in L$ for some $0 \leq i \leq n-1$. The identity $w_i = w_{i+1}$ holds in the variety \mathfrak{X} if and only if $c(w_i) = c(w_{i+1})$.*

Proof. Let $w_i, w_{i+1} \in L$. If $c(w_i) = c(w_{i+1})$ then $w_i = w_{i+1}$ in \mathfrak{X} because the variety \mathfrak{X} is commutative. Let now $c(w_i) \neq c(w_{i+1})$. If $w_i = w_{i+1}$ in \mathfrak{X} then $w_i = 0$ in \mathfrak{X} by Lemma 2.3(i). But this contradicts $w_i \in L$. \square

Lemma 4.6. *If $w_i, w_{i+1} \in L$ for some $0 \leq i \leq n-1$ then the sequence (15) contains no word from the set Z .*

Proof. Suppose that $i+1 < n$ and $w_{i+2} \in Z$. Then $w_{i+2} = 0$ holds in \mathfrak{X} while $w_{i+1} = 0$ is false in \mathfrak{X} . Therefore, the identity $w_{i+1} = w_{i+2}$ is false in \mathfrak{X} . This means that $w_{i+1} = w_{i+2}$ in \mathfrak{Z} , and therefore $w_i = w_{i+1}$ in \mathfrak{X} . The latter together with Lemma 4.5 imply that $c(w_i) = c(w_{i+1})$. Therefore, the word w_i may be obtained from w_{i+1} by an action of some permutation σ on indices of letters occurring in $c(w_{i+1})$. Substitute $x_{\sigma(j)}$ for x_j in the identity $w_{i+1} = w_{i+2}$ for all letters $x_j \in c(w_{i+1})$. We obtain an identity of the type $w_i = w'$ such that $w' \approx w_{i+2}$ and the identity $w_i = w'$ holds in \mathfrak{Z} . Since $w_{i+2} = 0$ in \mathfrak{X} , we have $w' = 0$ in \mathfrak{X} too. Thus, $w' = w_{i+2}$ in \mathfrak{X} . Since $n \geq 3$, either $i > 0$ or $i+2 < n$. If $i > 0$ then $w_{i-1} = w_i = w'$ in \mathfrak{Z} and $w_0, w_1, \dots, w_{i-1}, w', w_{i+2}, \dots, w_n$ is an $(\mathfrak{X}, \mathfrak{Z})$ -deduction of the identity $u = v$ that shorter than (15). Furthermore, if $i+2 < n$ then $w' = w_{i+2} = w_{i+3}$ in \mathfrak{X} and $w_0, w_1, \dots, w_i, w', w_{i+3}, \dots, w_n$ is an $(\mathfrak{X}, \mathfrak{Z})$ -deduction of the identity $u = v$ that shorter than (15). We see that if $i+1 < n$ then $w_{i+2} \notin Z$. By symmetry, if $i > 0$ then $w_{i-1} \notin Z$.

Let now $w_j, \dots, w_i, \dots, w_k$ (where $0 \leq j \leq i < k \leq n$) be the maximal subsequence of the sequence (15) consisting of words from the set L . In other

words, $w_j, \dots, w_i, \dots, w_k \in L$, $w_{k+1} \notin L$ whenever $k < n$, and $w_{j-1} \notin L$ whenever $j > 0$. Suppose that $k < n$. As we have proved above $w_{k+1} \notin Z$, whence $w_{k+1} \in S$. Applying Lemma 4.3(i) we have $k + 1 = n$. Analogously, if $j > 0$ then $j = 1$ and $w_0 \in S$. We see that the words from the set Z are absent in the sequence (15). \square

Lemma 4.7. *If $w_i, w_{i+1}, w_{i+2} \in L$ for some $0 \leq i \leq n - 2$ then either $c(w_i) = c(w_{i+1}) \neq c(w_{i+2})$ or $c(w_i) \neq c(w_{i+1}) = c(w_{i+2})$.*

Proof. Applying Lemma 4.5, we have $w_i = w_{i+1} = w_{i+2}$ in \mathfrak{X} whenever $c(w_i) = c(w_{i+1}) = c(w_{i+2})$, and $w_i = w_{i+1} = w_{i+2}$ in \mathfrak{Z} whenever $c(w_i) \neq c(w_{i+1}) \neq c(w_{i+2})$. Both the cases are impossible. \square

Lemma 4.8. *If $w_i, w_{i+1}, w_{i+2}, w_{i+3} \in L$ and $c(w_i) = c(w_{i+1}) \neq c(w_{i+2}) = c(w_{i+3})$ for some $0 \leq i \leq n - 3$ then there are words w' and w'' such that $w_i = w'$ in \mathfrak{Z} , $w' = w''$ in \mathfrak{X} and $w'' = w_{i+3}$ in \mathfrak{Z} .*

Proof. By Lemma 4.5 the identities $w_i = w_{i+1}$ and $w_{i+2} = w_{i+3}$ hold true in \mathfrak{X} while the identity $w_{i+1} = w_{i+2}$ holds in \mathfrak{Z} . Since $c(w_i) = c(w_{i+1})$ and $w_i, w_{i+1} \in L$, the word w_i may be obtained from w_{i+1} by an action of some permutation σ on the indices of the letters occurring in $c(w_{i+1})$. Substitute $x_{\sigma(j)}$ for x_j in the identity $w_{i+1} = w_{i+2}$ for all letters $x_j \in c(w_{i+1})$. We obtain an identity of the type $w_i = w'_{i+2}$ such that $w'_{i+2} \in L$, $c(w_i) \neq c(w'_{i+2})$ and the identity $w_i = w'_{i+2}$ holds in \mathfrak{Z} . There is a letter x such that either $x \in c(w_i) \setminus c(w'_{i+2})$ or $x \in c(w'_{i+2}) \setminus c(w_i)$. Substitute x^3 for x in the identity $w_i = w'_{i+2}$. If $x \in c(w_i) \setminus c(w'_{i+2})$ we obtain an identity of the type $w' = w'_{i+2}$ such that $w' \in Z$ (because $x^3 = 0$ in \mathfrak{C} , and moreover in \mathfrak{X}) and $w' = w'_{i+2}$ holds in \mathfrak{Z} . Clearly, $w_i = w'$ also is satisfied by \mathfrak{Z} . Furthermore, if $x \in c(w'_{i+2}) \setminus c(w_i)$ then the substitution $x \mapsto x^3$ immediately deduces from $w_i = w'_{i+2}$ an identity of the type $w_i = w'$ such that $w' \in Z$ (by the aforementioned reason) and $w_i = w'$ in \mathfrak{Z} . Thus, in any case there is a word $w' \in Z$ such that $w_i = w'$ in \mathfrak{Z} . Analogously, there is a word $w'' \in Z$ such that the identity $w'' = w_{i+3}$ holds in \mathfrak{Z} . Because $w', w'' \in Z$, the identity $w' = w''$ holds in \mathfrak{X} . \square

Now we are ready to complete the proof of sufficiency in Theorem 1. Further considerations are divided into four cases.

Case 1: the sequence (15) contains no adjacent words from the set L . Lemmas 4.3(i) and 4.4 imply that $w_1, \dots, w_{n-1} \in Z$ in this case. Combining this claim with Lemma 4.2 and the condition $n \geq 3$, we obtain that $n = 3$. Besides that, the identity $w_1 = w_2$ holds in \mathfrak{X} , and therefore the identities $w_0 = w_1$ and $w_2 = w_3$ hold in \mathfrak{Z} . Now Lemma 4.1 applies.

Case 2: the sequence (15) contains adjacent words from the set L but does not contain three words in row from this set. Applying Lemma 4.6 we have

that the sequence (15) contains no words from the set Z in this case. Then Lemma 4.3(i) together with the claim $n \geq 3$ implies that $n = 3$, $w_0, w_3 \in S$ and $w_1, w_2 \in L$. By Lemma 4.3(ii) the identities $w_0 = w_1$ and $w_2 = w_3$ hold true in \mathfrak{Z} . Therefore, $w_1 = w_2$ in \mathfrak{X} . Now Lemma 4.1 applies.

Case 3: the sequence (15) contains three words in row from the set L but does not contain four words in row from this set. So, let $w_i, w_{i+1}, w_{i+2} \in L$ for some $0 \leq i \leq n - 2$. According to Lemmas 4.7 and 4.5, either $c(w_i) = c(w_{i+1}) \neq c(w_{i+2})$, $w_i = w_{i+1}$ in \mathfrak{X} and $w_{i+1} = w_{i+2}$ in \mathfrak{Z} or $c(w_i) \neq c(w_{i+1}) = c(w_{i+2})$, $w_i = w_{i+1}$ in \mathfrak{Z} and $w_{i+1} = w_{i+2}$ in \mathfrak{X} . By symmetry, it suffices to consider the former case. So, let $c(w_i) = c(w_{i+1}) \neq c(w_{i+2})$, $w_i = w_{i+1}$ in \mathfrak{X} and $w_{i+1} = w_{i+2}$ in \mathfrak{Z} . Since $n \geq 3$, either $i + 2 < n$ or $i > 0$. Suppose at first that $i + 2 < n$. Then the identity $w_{i+2} = w_{i+3}$ holds in \mathfrak{X} . Since $w_{i+2} \in L$, the identity $w_{i+2} = 0$ is false in \mathfrak{X} . The claims (i) and (iii) of Lemma 2.3 imply now that $w_{i+3} \in L$. Therefore, the sequence (15) contains four words in row from the set L (namely, the words w_i, w_{i+1}, w_{i+2} and w_{i+3}). But this is impossible. Therefore, $i + 2 = n$, whence $i > 0$. The identity $w_{i-1} = w_i$ holds in the variety \mathfrak{Z} . The case $w_{i-1} \in L$ is impossible because the sequence (15) contains no four words in row from the set L . Lemma 4.6 implies that $w_{i-1} \notin Z$. Therefore, $w_{i-1} \in S$. Then we can apply Lemma 4.3(i) and conclude that $i - 1 = 0$. Now Lemma 4.1 applies.

Case 4: the sequence (15) contains four words in row from the set L . So, let $w_i, w_{i+1}, w_{i+2}, w_{i+3} \in L$ for some $0 \leq i \leq n - 3$. According to Lemma 4.7, this means that either $c(w_i) = c(w_{i+1}) \neq c(w_{i+2}) = c(w_{i+3})$ or $c(w_i) \neq c(w_{i+1}) = c(w_{i+2}) \neq c(w_{i+3})$. Consider two corresponding subcases.

Subcase 4.1: $c(w_i) = c(w_{i+1}) \neq c(w_{i+2}) = c(w_{i+3})$. Then $w_i = w_{i+1}$ in \mathfrak{X} , $w_{i+1} = w_{i+2}$ in \mathfrak{Z} and $w_{i+2} = w_{i+3}$ in \mathfrak{X} by Lemma 4.5. Applying Lemma 4.8 we have that there are words w' and w'' such that $w_i = w'$ in \mathfrak{Z} , $w' = w''$ in \mathfrak{X} and $w'' = w_{i+3}$ in \mathfrak{Z} . If $i > 0$ then $w_{i-1} = w_i = w'$ in \mathfrak{Z} . This means that $w_0, w_1, \dots, w_{i-1}, w', w'', w_{i+3}, \dots, w_n$ is an $(\mathfrak{X}, \mathfrak{Z})$ -deduction of the identity $u = v$ that shorter than (15). Thus, $i = 0$. Analogous arguments imply that $i + 3 = n$. Now we can apply Lemma 4.1 for the sequence w_0, w', w'', w_{i+3} .

Subcase 4.2: $c(w_i) \neq c(w_{i+1}) = c(w_{i+2}) \neq c(w_{i+3})$. By Lemma 4.5, $w_i = w_{i+1}$ in \mathfrak{Z} , $w_{i+1} = w_{i+2}$ in \mathfrak{X} and $w_{i+2} = w_{i+3}$ in \mathfrak{Z} . Suppose that $i > 0$. Then the identity $w_{i-1} = w_i$ holds in \mathfrak{X} . By Lemmas 4.3 and 4.6 $w_{i-1} \notin S \cup Z$, whence $w_{i-1} \in L$. By Lemma 4.5 $c(w_{i-1}) = c(w_i)$. Now we can apply the same arguments as in Subcase 4.1 for the words $w_{i-1}, w_i, w_{i+1}, w_{i+2}$. The case $i + 3 < n$ can be considered quite analogously. Finally, if $i = 0$ and $i + 3 = n$, it remains to refer to Lemma 4.1.

We have completed the proof of sufficiency in Theorem 1. \square

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